
No. 255/2025/TB-EVS

Hanoi, April 22, 2025

**DISCLOSURE OF INFORMATION ON THE STATE SECURITIES
COMMISSION'S PORTAL AND STOCK EXCHANGE'S PORTAL**

To:

- The State Securities Commission;
- Vietnam Stock Exchange;
- Hanoi Stock Exchange;
- Hochiminh Stock Exchange.

1. Organization name: **EVEREST SECURITIES JOINT STOCK COMPANY**
("Company")

Ticker/Member code: EVS/040

Address: Floor 3, 6, 9 - No. 2A Dai Co Viet Street, Le Dai Hanh Ward, Hai Ba Trung District, Hanoi, Vietnam

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Information disclosure type: ☒ 24 hours ☐ Irregular ☐ On demand ☐ Periodic

2. Content of information disclosure: Everest Securities Joint Stock Company announces information on Minutes and Resolution of the 2025 Annual General Meeting of Shareholders and accompanying documents. (*For detailed content, please see the attached documents*).
3. This information was posted on Company website on April 22, 2025 at this link:
<https://www.eves.com.vn/ve-chung-toi/cong-bo-thong-tin/>

We hereby declare to be responsible for the accuracy and completeness of the above information.

Attached documents:

- Minutes of meeting, Resolution of the 2025 Annual General Meeting of Shareholders and accompanying documents.

Organization representative
Party authorized to disclose information



GIÁM ĐỐC TÀI CHÍNH
Vũ Thị Thanh Hằng

MEETING MINUTES
ANNUAL GENERAL MEETING OF SHAREHOLDERS 2025

- *Company name: Everest Securities Joint Stock Company (" **Company** "/" **EVS** ").*
- *Business registration number: 0102121331*
- *Head office: 3rd, 6th, 9th floor, 2A Dai Co Viet, Le Dai Hanh ward, Hai Ba Trung district, Hanoi city*
- *Opening time: 09:00 April 22, 2025*
- *Form of organization of General Meeting of Shareholders (" **GMS** "): Online*
- *Venue for the General Meeting of Shareholders: 7th Floor, No. 2A Dai Co Viet, Le Dai Hanh Ward, Hai Ba Trung District, Hanoi City*

2025 Annual General Meeting of Shareholders The company takes place with the following contents:

I. Procedures at the congress:

1. Introducing the Shareholder Qualification Review Board:

- Mr. Ha The Cong - Office Specialist of the Board of Directors: Head of the Board
- Ms. Luong Thi Thuyen - Head of Corporate Finance Consulting Department: Member
- Mr. Nguyen Manh Tien - Deputy Head of Corporate Finance Consulting Department: Member

2. Shareholder qualification check report:

Mr. Ha The Cong - Head of the Shareholders' Qualification Examination Board read the Report on the examination of shareholders' qualifications to attend the 2025 Annual General Meeting of Shareholders ("General Meeting/General Meeting of Shareholders") at 09:00 on April 22, 2025 as follows:

- Total number of shareholders entitled to attend the meeting (according to the list of shareholders as of March 24, 2025): **2,098** shareholders, owning **164,800,618** shares, accounting for 100% of the total number of voting shares of the Company .
- At the opening of the Congress: there were **17** delegates attending representing **30** shareholders, owning and representing **145,037,578** shares/votes, accounting for **88.01** % of the Company's shares/votes.

The detailed list is recorded in the Appendix of the list of shareholders and shareholder representatives attending .

Pursuant to the provisions of law and the Company's Charter, the Company's 2025 Annual General Meeting of Shareholders is eligible to proceed.

II. Through Presidium, Vote Counting Committee, appointment of Congress Secretariat

1. Presidium of the Congress:

- Mr. Nguyen Hai Chau - Chairman of the Board of Directors: Chairman of the Meeting
- Ms. Do Thi Hong Hai - Member of the Board of Directors of the Company: Member
- Mr. Nguyen Thanh Hai – General Director: Member

The General Meeting of Shareholders voted to approve the composition of the Presidium of the General Meeting as follows:

- a. Voting form: Electronic voting.
- b. Total number of votes on the voting issue: **145,034,878** votes. Of which:
 - The total number of valid votes is: **145,034,878** votes, accounting for 100% of the total number of votes of shareholders attending the meeting, accounting for **100** % of the total number of votes of shareholders attending and voting at the meeting.
 - The total number of invalid votes is: **0** votes, accounting for 0% of the total number of votes of shareholders attending the meeting, accounting for **0** % of the total number of votes of shareholders attending and voting at the meeting.
- c. Voting results
 - Total number of votes in favor: **145,034,878** votes, accounting for 100% of the total number of votes of shareholders attending the meeting, accounting for **100** % of the total number of votes of shareholders attending and voting at the meeting.
 - Total number of votes against : **0** votes, accounting for 0% of the total number of votes of shareholders attending the meeting, accounting for **0** % of the total number of votes of shareholders attending and voting at the meeting.
 - Total number of abstentions: **0** votes, accounting for 0% of the total number of votes of shareholders attending the meeting, accounting for **0** % of the total number of votes of shareholders attending and voting at the meeting.

The content was approved with 100 % of the total votes of shareholders attending and voting in favor .

2. Congress Secretariat:

The Chairman of the Congress elected the Secretariat including:

- Ms. Nguyen Thi Mi Ngoc - Chief of Office of the Board of Directors: Prefect
- Ms. Trieu Thi Trang - Deputy Head of Legal Department: Member

3. Counting Committee:

- Ms. Le Thi Duyen - Deputy Head of Legal Department: Head of the Board
- Ms. Le Thi Thuy - Corporate Finance Consultant: Member

- Mr. Tran Vu Duc Anh - Corporate Finance Consultant: Member

The General Meeting of Shareholders voted to approve the composition of the Ballot Counting Committee as follows:

- a. Voting form: Electronic voting.
- b. Total number of votes on the voting issue: **145,034,878** votes. Of which:
 - The total number of valid votes is: **145,034,878** votes, accounting for **100 %** of the total number of votes of shareholders attending the meeting, accounting for **100 %** of the total number of votes of shareholders attending and voting at the meeting .
 - The total number of invalid votes is: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting .
- c. Voting results
 - Total number of votes in favor: **145,034,878** votes, accounting for **100 %** of the total number of votes of shareholders attending the meeting, accounting for **100 %** of the total number of votes of shareholders attending and voting at the meeting.
 - Total number of votes against: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting.
 - Total number of abstention votes: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting.

The content was approved with 100 % of the total votes of shareholders attending and voting in favor .

4. Disseminate the Working Regulations of the 2025 Annual General Meeting of Shareholders.

5. Approval of the 2025 Annual General Meeting of Shareholders agenda:

Mr. Nguyen Thanh Hai - Member of the Presidium presented the agenda of the 2025 Annual General Meeting of Shareholders. Specifically as follows:

- Report of the Board of Directors (BOD) on the management and performance of the BOD and each member of the BOD in 2024 and the direction of operation in 2025;
- Report on the activities of the independent members of the Board of Directors in the Audit Committee (ABC) in 2024;
- Report on 2024 business performance and 2025 business plan of the Board of Directors;
- Audited financial statements for 2024 and profit distribution plan for 2024;
- Select an audit company for financial statements and other reports as prescribed in 2025;

- Finalize remuneration for the Board of Directors and Audit Committee in 2024 and remuneration plan for the Board of Directors and Audit Committee in 2025;
- Dismissal and election of members of the Board of Directors;
- Change of Company Name;
- Add legal representative of the Company;
- Amendment of the Company Charter;
- Amendment of the Company's internal governance regulations;
- Amending the Charter of organization and operation of the Board of Directors;
- Propose to purchase, sell or invest in assets and approve transactions within the authority of the General Meeting of Shareholders;
- Other contents under the authority of the General Meeting of Shareholders (if any) .

The General Meeting of Shareholders voted to approve the meeting agenda as follows:

- a. Voting form: Electronic voting.
- b. Total number of votes on the voting issue: **145,034,878** votes. Of which:
 - The total number of valid votes is: **145,034,878** votes, accounting for **100 %** of the total number of votes of shareholders attending the meeting, accounting for **100 %** of the total number of votes of shareholders attending and voting at the meeting .
 - The total number of invalid votes is: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting .
- c. Voting results
 - Total number of votes in favor: **145,034,878** votes, accounting for **100 %** of the total number of votes of shareholders attending the meeting, accounting for **100 %** of the total number of votes of shareholders attending and voting at the meeting.
 - Total number of votes against: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting.
 - Total number of abstention votes: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting.

The content was approved with 100 % of the total votes of shareholders attending and voting in favor .

III. Presentations at the 2025 Annual General Meeting of Shareholders

1. Report of the Board of Directors on the management and performance of the Board of Directors and each member of the Board of Directors in 2024 and the direction of operations in 2025 (*details according to Report No. 01/2025/BC-HDQT dated April 1, 2025 of the Board of Directors*);

2. Report on the activities of the Independent Member of the Board of Directors in the Audit Committee in 2024 *(details according to Report No. 01/2025/BC-UBKT dated April 1, 2025 of the Independent Member of the Board of Directors)*;
3. Report on 2024 business performance and 2025 business plan of the Board of Directors *(details according to Report No. 02/2025/BC-TGD dated April 1, 2025 of the Board of Directors)*.
4. Audited financial statements for 2024 and Profit distribution plan for 2024 *(details stated in Submission No. 01/2025/TT-HĐQT dated April 1, 2025 of the Board of Directors)*.
5. Select an Auditing Company for the Company's Financial Statements and other reports according to the Company's regulations for 2025 *(details stated in Submission No. 02/2025/TT-HĐQT dated April 1, 2025 of the Board of Directors)*.
6. Finalize remuneration for the Board of Directors and Audit Committee in 2024 and remuneration plan for the Board of Directors and Audit Committee in 2025 *(details stated in Submission No. 03/2025/TTr-HĐQT dated April 1, 2025 of the Board of Directors)*.
7. Dismissal and election of Board of Directors members *(details stated in Submission No. 04/2025/TT-HĐQT dated April 1, 2025 of the Board of Directors)*.
8. Change of Company name *(details stated in Submission No. 05/2025/TTr-HĐQT dated April 1, 2025 of the Board of Directors)*;
9. Add the Company's legal representative *(details stated in Submission No. 06/2025/TTr-HĐQT dated April 1, 2025 of the Board of Directors)*;
10. Amending the Company Charter *(details stated in Submission No. 07/2025/TT-HĐQT dated April 1, 2025 of the Board of Directors)*;
11. Amending the Company's internal governance regulations *(details stated in Submission No. 08/2025/TTr-HĐQT dated April 1, 2025 of the Board of Directors)*;
12. Amending the Regulations on organization and operation of the Board of Directors *(details stated in Submission No. 09/2025/TTr-HĐQT dated April 1, 2025 of the Board of Directors)*;
13. Policy on buying, selling or investing in assets and approving transactions under the authority of the General Meeting of Shareholders *(details stated in Submission No. 10/2025/TT-HĐQT dated April 1, 2025 of the Board of Directors)*.

IV. Discussion Section – Shareholder Feedback

Shareholders attending the meeting did not ask questions.

V. Vote on the contents presented at the Congress

Shareholders discussed and agreed to vote on the contents of the Reports and Proposals at the General Meeting.

Voting results for each content of the Congress are as follows:

1. **Through the dismissal and election of Board of Directors (BOD) members**
 - a. Voting form: Electronic voting.

- b. Total number of votes on the voting issue: **145,034,878** votes. Of which:
- The total number of valid votes is: **145,034,878** votes, accounting for **100 %** of the total number of votes of shareholders attending the meeting, accounting for **100 %** of the total number of votes of shareholders attending and voting at the meeting .
 - The total number of invalid votes is: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting .
- c. Voting results
- Total number of votes in favor: **139,754,878** votes, accounting for **96.36 %** of the total number of votes of shareholders attending the meeting, accounting for **96.36 %** of the total number of votes of shareholders attending and voting at the meeting.
 - Total number of votes against: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting.
 - Total number of abstention votes: **5,280,000** votes, accounting for **3.64 %** of the total number of votes of shareholders attending the meeting, accounting for **3.64 %** of the total number of votes of shareholders attending and voting at the meeting.

The content was approved with 96.36 % of the total votes of shareholders attending and voting in favor.

2. Through the List of Candidates for Election to the Board of Directors

- a. Voting form: Electronic voting.
- b. Total number of votes on the voting issue: **145,034,878** votes. Of which:
- The total number of valid votes is: **145,034,878** votes, accounting for **100 %** of the total number of votes of shareholders attending the meeting, accounting for **100 %** of the total number of votes of shareholders attending and voting at the meeting .
 - The total number of invalid votes is: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting .
- c. Voting results
- Total number of votes in favor: **139,754,878** votes, accounting for **96.36 %** of the total number of votes of shareholders attending the meeting, accounting for **96.36 %** of the total number of votes of shareholders attending and voting at the meeting.
 - Total number of votes against: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting.
 - Total number of abstention votes: **5,280,000** votes, accounting for **3.64 %** of the total number of votes of shareholders attending the meeting, accounting for **3.64 %** of the total number of votes of shareholders attending and voting at the meeting.

The content was approved with 96.36 % of the total votes of shareholders attending and voting in favor.

3. Electing members of the Board of Directors at the Company's 2025 Annual General Meeting of Shareholders as follows:

- a) Method of electing Board Members: Cumulative voting through electronic voting.
- b) Results of voting for Board of Directors members

Total number of votes of shareholders participating in the election: 279,509,756 votes

- Total number of valid votes of shareholders participating in the election: 279,509,756 votes, accounting for 100% of the total votes.
- Total number of invalid votes of shareholders participating in the election: 0 votes, accounting for 0% of the total number of votes.

STT	Candidate	Number of votes
1	Nguyen Thanh Hai	139,757,478
2	Nguyen Dinh Tuan	139,752,278

the Company 's Charter , the following candidates were elected as members of the Company's Board of Directors :

- (i) Mr. Nguyen Thanh Hai
- (ii) Mr. Nguyen Dinh Tuan

4. Approval of the Board of Directors' Report on the management and performance of the Board of Directors and each member of the Board of Directors in 2024 and the direction of operations in 2025

- a. Voting form: Electronic voting.
- b. Total number of votes on the voting issue: **145,034,878** votes. Of which:
 - The total number of valid votes is: **145,034,878** votes, accounting for **100 %** of the total number of votes of shareholders attending the meeting, accounting for **100 %** of the total number of votes of shareholders attending and voting at the meeting .
 - The total number of invalid votes is: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting .
- c. Voting results
 - Total number of votes in favor: **139,754,878** votes, accounting for **96.36 %** of the total number of votes of shareholders attending the meeting, accounting for **96.36 %** of the total number of votes of shareholders attending and voting at the meeting.
 - Total number of votes against: **5,280,000** votes, accounting for **3.64 %** of the total number of votes of shareholders attending the meeting, accounting for **3.64 %** of the total number of votes of shareholders attending and voting at the meeting.
 - Total number of abstention votes: **0** votes, accounting for **0 %** of the total number of votes

of shareholders attending the meeting, accounting for **0** % of the total number of votes of shareholders attending and voting at the meeting.

The content was approved with 96.36 % of the total votes of shareholders attending and voting in favor.

5. Approval of the Performance Report of the Independent Member of the Board of Directors in the Audit Committee (ABC) in 2024

- a. Voting form: Electronic voting.
- b. Total number of votes on the voting issue: **145,034,878** votes. Of which:
 - The total number of valid votes is: **145,034,878** votes, accounting for **100** % of the total number of votes of shareholders attending the meeting, accounting for **100** % of the total number of votes of shareholders attending and voting at the meeting .
 - The total number of invalid votes is: **0** votes, accounting for **0** % of the total number of votes of shareholders attending the meeting, accounting for **0** % of the total number of votes of shareholders attending and voting at the meeting .
- c. Voting results
 - Total number of votes in favor: **139,754,878** votes, accounting for **96.36** % of the total number of votes of shareholders attending the meeting, accounting for **96.36** % of the total number of votes of shareholders attending and voting at the meeting.
 - Total number of votes against: **0** votes, accounting for **0** % of the total number of votes of shareholders attending the meeting, accounting for **0** % of the total number of votes of shareholders attending and voting at the meeting.
 - Total number of abstention votes: **5,280,000** votes, accounting for **3.64** % of the total number of votes of shareholders attending the meeting, accounting for **3.64** % of the total number of votes of shareholders attending and voting at the meeting.

The content was approved with 96.36 % of the total votes of shareholders attending and voting in favor.

6. Approval of the 2024 Business Performance Report and 2025 Operational Plan of the Board of Directors

- a. Voting form: Electronic voting.
- b. Total number of votes on the voting issue: **145,034,878** votes. Of which:
 - The total number of valid votes is: **145,034,878** votes, accounting for **100** % of the total number of votes of shareholders attending the meeting, accounting for **100** % of the total number of votes of shareholders attending and voting at the meeting .
 - The total number of invalid votes is: **0** votes, accounting for **0** % of the total number of votes of shareholders attending the meeting, accounting for **0** % of the total number of votes of shareholders attending and voting at the meeting .
- c. Voting results
 - Total number of votes in favor: **139,754,878** votes, accounting for **96.36** % of the total

number of votes of shareholders attending the meeting, accounting for **96.36 %** of the total number of votes of shareholders attending and voting at the meeting.

- Total number of votes against: **5,280,000** votes, accounting for **3.64 %** of the total number of votes of shareholders attending the meeting, accounting for **3.64 %** of the total number of votes of shareholders attending and voting at the meeting.
- Total number of abstention votes: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting.

The content was approved with 96.36 % of the total votes of shareholders attending and voting in favor.

7. Approval of Audited Financial Statements for 2024

- a. Voting form: Electronic voting.
- b. Total number of votes on the voting issue: **145,034,878** votes. Of which:
 - The total number of valid votes is: **145,034,878** votes, accounting for **100 %** of the total number of votes of shareholders attending the meeting, accounting for **100 %** of the total number of votes of shareholders attending and voting at the meeting .
 - The total number of invalid votes is: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting .
- c. Voting results
 - Total number of votes in favor: **139,754,878** votes, accounting for **96.36 %** of the total number of votes of shareholders attending the meeting, accounting for **96.36 %** of the total number of votes of shareholders attending and voting at the meeting.
 - Total number of votes against: **5,280,000** votes, accounting for **3.64 %** of the total number of votes of shareholders attending the meeting, accounting for **3.64 %** of the total number of votes of shareholders attending and voting at the meeting.
 - Total number of abstention votes: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting.

The content was approved with 96.36 % of the total votes of shareholders attending and voting in favor.

8. Approval of the 2024 Profit Distribution Plan

- a. Voting form: Electronic voting.
- b. Total number of votes on the voting issue: **145,034,878** votes. Of which:
 - The total number of valid votes is: **145,034,878** votes, accounting for **100 %** of the total number of votes of shareholders attending the meeting, accounting for **100 %** of the total number of votes of shareholders attending and voting at the meeting .
 - The total number of invalid votes is: **0** votes, accounting for **0 %** of the total number of votes

of shareholders attending the meeting, accounting for 0 % of the total number of votes of shareholders attending and voting at the meeting .

c. Voting results

- Total number of votes in favor: **139,754,878** votes, accounting for **96.36** % of the total number of votes of shareholders attending the meeting, accounting for **96.36** % of the total number of votes of shareholders attending and voting at the meeting.
- Total number of votes against: **5,280,000** votes, accounting for **3.64** % of the total number of votes of shareholders attending the meeting, accounting for **3.64** % of the total number of votes of shareholders attending and voting at the meeting.
- Total number of abstention votes: **0** votes, accounting for **0** % of the total number of votes of shareholders attending the meeting, accounting for **0** % of the total number of votes of shareholders attending and voting at the meeting.

The content was approved with 96.36 % of the total votes of shareholders attending and voting in favor.

9. Approval of the selection of an auditing company for financial statements and other reports as prescribed in 2025

a. Voting form: Electronic voting.

b. Total number of votes on the voting issue: **145,034,878** votes. Of which:

- The total number of valid votes is: **145,034,878** votes, accounting for **100** % of the total number of votes of shareholders attending the meeting, accounting for **100** % of the total number of votes of shareholders attending and voting at the meeting .
- The total number of invalid votes is: **0** votes, accounting for **0** % of the total number of votes of shareholders attending the meeting, accounting for **0** % of the total number of votes of shareholders attending and voting at the meeting .

c. Voting results

- Total number of votes in favor: **145,034,878** votes, accounting for **100** % of the total number of votes of shareholders attending the meeting, accounting for **100** % of the total number of votes of shareholders attending and voting at the meeting.
- Total number of votes against: **0** votes, accounting for **0** % of the total number of votes of shareholders attending the meeting, accounting for **0** % of the total number of votes of shareholders attending and voting at the meeting.
- Total number of abstention votes: **0** votes, accounting for **0** % of the total number of votes of shareholders attending the meeting, accounting for **0** % of the total number of votes of shareholders attending and voting at the meeting.

The content was approved with 100 % of the total votes of shareholders attending and voting in favor.

10. Approval of remuneration settlement for the Board of Directors and Audit Committee in 2024

- a. Voting form: Electronic voting.
- b. Total number of votes on the voting issue: **145,034,878** votes. Of which:
 - The total number of valid votes is: **145,034,878** votes, accounting for **100 %** of the total number of votes of shareholders attending the meeting, accounting for **100 %** of the total number of votes of shareholders attending and voting at the meeting .
 - The total number of invalid votes is: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting .
- c. Voting results
 - Total number of votes in favor: **139,754,878** votes, accounting for **96.36 %** of the total number of votes of shareholders attending the meeting, accounting for **96.36 %** of the total number of votes of shareholders attending and voting at the meeting.
 - Total number of votes against: **5,280,000** votes, accounting for **3.64 %** of the total number of votes of shareholders attending the meeting, accounting for **3.64 %** of the total number of votes of shareholders attending and voting at the meeting.
 - Total number of abstention votes: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting.

The content was approved with 96.36 % of the total votes of shareholders attending and voting in favor.

11. Approval of the remuneration plan for the Board of Directors and Audit Committee in 2025

- a. Voting form: Electronic voting.
- b. Total number of votes on the voting issue: **145,034,878** votes. Of which:
 - The total number of valid votes is: **145,034,878** votes, accounting for **100 %** of the total number of votes of shareholders attending the meeting, accounting for **100 %** of the total number of votes of shareholders attending and voting at the meeting .
 - The total number of invalid votes is: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting .
- c. Voting results
 - Total number of votes in favor: **139,754,878** votes, accounting for **96.36 %** of the total number of votes of shareholders attending the meeting, accounting for **96.36 %** of the total number of votes of shareholders attending and voting at the meeting.
 - Total number of votes against: **5,280,000** votes, accounting for **3.64 %** of the total number of votes of shareholders attending the meeting, accounting for **3.64 %** of the total number of votes of shareholders attending and voting at the meeting.
 - Total number of abstention votes: **0** votes, accounting for **0 %** of the total number of votes

of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting.

The content was approved with 96.36 % of the total votes of shareholders attending and voting in favor.

12. Through change of Company name

- a. Voting form: Electronic voting.
- b. Total number of votes on the voting issue: **145,034,878** votes. Of which:
 - The total number of valid votes is: **145,034,878** votes, accounting for **100 %** of the total number of votes of shareholders attending the meeting, accounting for **100 %** of the total number of votes of shareholders attending and voting at the meeting .
 - The total number of invalid votes is: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting .
- c. Voting results
 - Total number of votes in favor: **145,034,878** votes, accounting for **100 %** of the total number of votes of shareholders attending the meeting, accounting for **100 %** of the total number of votes of shareholders attending and voting at the meeting.
 - Total number of votes against: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting.
 - Total number of abstention votes: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting.

The content was approved with 100 % of the total votes of shareholders attending and voting in favor.

13. Through the addition of a legal representative

- a. Voting form: Electronic voting.
- b. Total number of votes on the voting issue: **145,034,878** votes. Of which:
 - The total number of valid votes is: **145,034,878** votes, accounting for **100 %** of the total number of votes of shareholders attending the meeting, accounting for **100 %** of the total number of votes of shareholders attending and voting at the meeting .
 - The total number of invalid votes is: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting .
- c. Voting results
 - Total number of votes in favor: **139,754,878** votes, accounting for **96.36 %** of the total number of votes of shareholders attending the meeting, accounting for **96.36 %** of the total number of votes of shareholders attending and voting at the meeting.

- Total number of votes against: **5,280,000** votes, accounting for **3.64 %** of the total number of votes of shareholders attending the meeting, accounting for **3.64 %** of the total number of votes of shareholders attending and voting at the meeting.
- Total number of abstention votes: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting.

The content was approved with 96.36 % of the total votes of shareholders attending and voting in favor.

14. Through amendment of the Company Charter

- a. Voting form: Electronic voting.
- b. Total number of votes on the voting issue: **145,034,878** votes. Of which:
 - The total number of valid votes is: **145,034,878** votes, accounting for **100 %** of the total number of votes of shareholders attending the meeting, accounting for **100 %** of the total number of votes of shareholders attending and voting at the meeting .
 - The total number of invalid votes is: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting .
- c. Voting results
 - Total number of votes in favor: **139,754,878** votes, accounting for **96.36 %** of the total number of votes of shareholders attending the meeting, accounting for **96.36 %** of the total number of votes of shareholders attending and voting at the meeting.
 - Total number of votes against: **5,280,000** votes, accounting for **3.64 %** of the total number of votes of shareholders attending the meeting, accounting for **3.64 %** of the total number of votes of shareholders attending and voting at the meeting.
 - Total number of abstention votes: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting.

The content was approved with 96.36 % of the total votes of shareholders attending and voting in favor.

15. Approval of amendments to the Company's internal governance regulations

- a. Voting form: Electronic voting.
- b. Total number of votes on the voting issue: **145,034,878** votes. Of which:
 - The total number of valid votes is: **145,034,878** votes, accounting for **100 %** of the total number of votes of shareholders attending the meeting, accounting for **100 %** of the total number of votes of shareholders attending and voting at the meeting .
 - The total number of invalid votes is: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting .

c. Voting results

- Total number of votes in favor: **139,754,878** votes, accounting for **96.36 %** of the total number of votes of shareholders attending the meeting, accounting for **96.36 %** of the total number of votes of shareholders attending and voting at the meeting.
- Total number of votes against: **5,280,000** votes, accounting for **3.64 %** of the total number of votes of shareholders attending the meeting, accounting for **3.64 %** of the total number of votes of shareholders attending and voting at the meeting.
- Total number of abstention votes: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting.

The content was approved with 96.36 % of the total votes of shareholders attending and voting in favor.

16. Approval of amendments to the Charter of organization and operation of the Board of Directors

a. Voting form: Electronic voting.

b. Total number of votes on the voting issue: **145,034,878** votes. Of which:

- The total number of valid votes is: **145,034,878** votes, accounting for **100 %** of the total number of votes of shareholders attending the meeting, accounting for **100 %** of the total number of votes of shareholders attending and voting at the meeting .
- The total number of invalid votes is: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting .

c. Voting results

- Total number of votes in favor: **139,754,878** votes, accounting for **96.36 %** of the total number of votes of shareholders attending the meeting, accounting for **96.36 %** of the total number of votes of shareholders attending and voting at the meeting.
- Total number of votes against: **5,280,000** votes, accounting for **3.64 %** of the total number of votes of shareholders attending the meeting, accounting for **3.64 %** of the total number of votes of shareholders attending and voting at the meeting.
- Total number of abstention votes: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting.

The content was approved with 96.36 % of the total votes of shareholders attending and voting in favor.

17. Approving policies on purchasing, selling or investing in assets and approving transactions within the authority of the General Meeting of Shareholders

a. Voting form: Electronic voting.

b. Total number of votes on the voting issue: **145,034,878** votes. Of which:

- The total number of valid votes is: **145,034,878** votes, accounting for **100 %** of the total number of votes of shareholders attending the meeting, accounting for **100 %** of the total number of votes of shareholders attending and voting at the meeting .
- The total number of invalid votes is: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting .

c. Voting results

- Total number of votes in favor: **139,754,878** votes, accounting for **96.36 %** of the total number of votes of shareholders attending the meeting, accounting for **96.36 %** of the total number of votes of shareholders attending and voting at the meeting.
- Total number of votes against: **5,280,000** votes, accounting for **3.64 %** of the total number of votes of shareholders attending the meeting, accounting for **3.64 %** of the total number of votes of shareholders attending and voting at the meeting.
- Total number of abstention votes: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting.

The content was approved with 96.36 % of the total votes of shareholders attending and voting in favor.

VI. Approval of Minutes and Resolutions of the 2025 Annual General Meeting of Shareholders

1. Approval of the Minutes of the 2025 Annual General Meeting of Shareholders

- a. Voting form: Electronic voting.
- b. Total number of votes for the voting issue: **139,745,878** votes. Of which:
 - The total number of valid votes is: **139,745,878** votes, accounting for **96.35 %** of the total number of votes of shareholders attending the meeting, accounting for **100 %** of the total number of votes of shareholders attending and voting at the meeting .
 - The total number of invalid votes is: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting .
- c. Voting results
 - Total number of votes in favor: **139,745,878** votes, accounting for **96.35 %** of the total number of votes of shareholders attending the meeting, accounting for **100 %** of the total number of votes of shareholders attending and voting at the meeting.
 - Total number of votes against: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting.
 - Total number of abstention votes: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting.

shareholders attending and voting at the meeting.

The content was approved with 100 % of the total votes of shareholders attending and voting in favor.

2. Approval of the Resolution of the 2025 Annual General Meeting of Shareholders

- a. Voting form: Electronic voting.
- b. Total number of votes for the voting issue: **139,745,878** votes. Of which:
 - The total number of valid votes is: **139,745,878** votes, accounting for **96.35 %** of the total number of votes of shareholders attending the meeting, accounting for **100 %** of the total number of votes of shareholders attending and voting at the meeting .
 - The total number of invalid votes is: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting .
- c. Voting results
 - Total number of votes in favor: **139,745,878** votes, accounting for **96.35 %** of the total number of votes of shareholders attending the meeting, accounting for **100 %** of the total number of votes of shareholders attending and voting at the meeting.
 - Total number of votes against: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting.
 - Total number of abstention votes: **0** votes, accounting for **0 %** of the total number of votes of shareholders attending the meeting, accounting for **0 %** of the total number of votes of shareholders attending and voting at the meeting.

The content was approved with 100 % of the total votes of shareholders attending and voting in favor .

VII. Closing of the Congress

These minutes are fully and truthfully recorded by the meeting Secretariat and approved by the General Meeting of Shareholders before the end of the meeting.

Annual General Meeting of Shareholders ended at 12:00 p.m. on the same day.

ON BEHALF OF THE SECRETARIAT



Nguyen Thi Mi Ngoc

**ON BEHALF OF THE PRESODIUM
PRESIDE**



Nguyen Hai Chau

No.: 01/2025/NQ-DHDCB

Hanoi, dated 22 month 04 year 2025

RESOLUTION
2025 ANNUAL GENERAL MEETING OF SHAREHOLDERS

Pursuant to:

- Law on Enterprises No. 59/2020/QH14 issued on June 17, 2020 and documents amending, supplementing and guiding its implementation;
- Law on Securities No. 54/2019/QH14 issued on November 26, 2019 and documents amending, supplementing and guiding its implementation;
- Charter of Everest Securities Joint Stock Company;
- Minutes of the 2025 Annual General Meeting of Shareholders of Everest Securities Joint Stock Company ("**Company**") dated 22/04/2025.

DECISION:

Article 1. The 2025 Annual General Meeting of Shareholders ("**AGM**") of Company approved the following contents:

1. Report of the Board of Directors ("**BOD**") on the management and performance of BOD and each BOD member in 2024 and the direction of operations in 2025 (detailed according to Report No. 01/2025/BC-HĐQT dated 01/04/2025).
2. Report on the activities of the Independent Member of the Board of Directors in the Audit Committee in 2024 (detailed according to Report No. 01/2025/BC-UBKT dated 01/04/2025).
3. Report on business activities performance in 2024 and the 2025 operation plan of the Board of Directors (detailed according to Report No. 02/2025/BC-TGD dated 01/04/2025).
4. The 2024 Audited Financial Statements and the 2024 Profit Distribution Plan (detailed according to Proposal No. 01/2025/TT-HĐQT dated 01/04/2025).



5. Select an auditing company for the 2025 Financial Statements and other reports as prescribed in 2025 of the Company (*details according to Proposal No. 02/2025/TT-HĐQT dated 01/04/2025*).
6. Finalization of remuneration for the Board of Directors and Audit Committee in 2024 and the detailed remuneration plan for the Board of Directors and Audit Committee in 2025 (*details according to Proposal No. 03/2025/TT-HĐQT dated 01/04/2025*).
7. Dismiss the following persons from the Board of Directors:
 - Mr. Pham Hong Minh;
 - Mr. Nguyen Xuan Ha;
 - Mr. Nguyen Thanh Chung;
 - Mr. Tran Dinh Cuong;
 - Mr. Hoang Don Hung.
8. Approving the election of 02 members of the Board of Directors, including the following persons:
 - Mr. Nguyen Thanh Hai;
 - Mr. Nguyen Dinh Tuan.
9. Approval of the change of the Company's name (*details according to Proposal No. 05/2025/TT-HĐQT dated 01/04/2025*).
10. Approval of the supplement of Legal Representative of the Company (*details according to Proposal No. 06/2025/TT-HĐQT dated 01/04/2025*).
11. Approval of Amendment of the Company Charter (*details according to Proposal No. 07/2025/TT-HĐQT dated 01/04/2025*).
12. Approval of Amendment of Internal Governance Regulations (*details according to Proposal No. 08/2025/TT-HĐQT dated 01/04/2025*).
13. Approval of Amendment to the Regulations on organization and operation of the Board of Directors (*details according to Proposal No. 09/2025/TT-HĐQT dated 01/04/2025*).



14. Approval of the policy of purchasing, selling or investing in assets and approval of transactions under the authority of the General Meeting of Shareholders *(details according to Proposal No. 10/2025/TT-HĐQT dated 01/04/2025).*

Article 2. The General Meeting of Shareholders assigns the Board of Directors to direct the implementation of the above contents in accordance with the provisions of the law and the Company's Charter.

Article 3. This Resolution takes effect from April 22, 2025. Members of the Board of Directors, Audit Committee, General Director and other relevant units and individuals are responsible for implementing this Resolution./.

Recipients

- Shareholders;
- BOD Member;
- Executive Board (Implementation);
- Securities Commission, Stock Exchange (Information Disclosure);
- Archive: BOD Office;

**Pp. BOARD OF DIRECTOR
PRESIDENT**



Nguyen Hai Chau



No.: 01./2025/BC-HĐQT

Ha Noi, dated 01. month 04. year 2025

REPORT
**OF THE BOARD OF DIRECTORS (BOD) ON GOVERNANCE AND
PERFORMANCE OF THE BOD AND ITS MEMBERS IN 2024, AND THE
OPERATIONAL ORIENTATION FOR 2025**

In accordance with the rights and responsibilities of the Board of Directors ("BOD") as stipulated by the Law on Enterprises and the Charter of Everest Securities Joint Stock Company, the Board of Directors respectfully presents to the General Meeting of Shareholders a report on the management and performance outcomes of the Board of Directors and each individual member for 2024, along with the operational direction for 2025 as follows:

I. ACTIVITIES OF THE BOARD OF DIRECTORS IN 2024

As of December 31, 2024, the Board of Directors of Everest Securities Joint Stock Company has 10 members as follows:

- | | |
|--------------------------|---|
| - Mr. Nguyen Hai Chau | - Chairman of the Board of Directors |
| - Ms. Do Thi Hong Hai | - Independent Member of the Board of Directors |
| - Mr. Tran Dinh Cuong | - Independent Member of the Board of Directors |
| - Mr. Hoang Don Hung | - Independent Member of the Board of Directors |
| - Mr. Pham Hong Minh | - Member of the Board of Directors and General Director |
| - Mr. Vu Manh Tien | - Member of the Board of Directors |
| - Ms. Vu Hai Anh | - Member of the Board of Directors |
| - Mr. Ngo Thanh Tung | - Member of the Board of Directors |
| - Mr. Nguyen Xuan Ha | - Member of the Board of Directors |
| - Mr. Nguyen Thanh Chung | - Member of the Board of Directors |

In 2024, the Board of Directors held meetings and issued decisions, business plans and set out important goals for the Company, directing the implementation of specific contents as follows:

1. Directing and supervising the implementation of the 2024 production and business plan.

In 2024, the Vietnamese stock market faced significant fluctuations and was under considerable pressure due to complex developments related to both domestic and foreign monetary policies, as well as the international economy and stock market dynamics. While a loose monetary policy, abundant domestic capital flows, and reforms from regulatory agencies provided positive support for the market, challenges remained from exchange rate pressures and the monetary policies of major economies.

In the first half of 2024, the market experienced a surge with a growth rate exceeding 10% on the VN-Index, and liquidity peaked at over VND 21 trillion per session, despite a brief correction in April. The growth-oriented strategy, characterized by a credit growth target of 15% for 2024 and the approval of two crucial draft laws—the Land Law and the amended Law on Credit Institutions—served as key catalysts for the banking sector and the market as a whole. Furthermore, from March to June, the timely intervention by the State Bank to address concerns regarding exchange rate and gold fluctuations bolstered investor confidence in the policy adjustments. However, this period of robust growth was swiftly succeeded by a slowdown in the latter half of the year, attributed to net selling by foreign investors and a deficiency of strong supportive information in the market. The VN-Index entered a sideways phase, oscillating between 1.200 and 1.300 points, despite numerous attempts to break out. Market liquidity also significantly diminished, with an average trading value of approximately VND 18 trillion per session, indicating a growing cautiousness among investors. By the end of 2024, the VN-Index is projected to rise by 12% compared to the end of 2023, with the average daily trading value on HOSE reaching VND 21.500 billion, reflecting a 23% increase over the same period last year.

Facing General Difficulties and Challenges, the Board of Directors (BOD) has proactively developed an operational strategy focusing on key factors to ensure the stability and development of the company, specifically:

- Researching Market Trends and Defining the Company's Direction: The BOD places special emphasis on updating market trends in the financial sector in general and the securities industry in particular, including investor behavior and the operations of securities firms and financial institutions. Based on this, the company determines its development direction and target market.

- Strengthening the Organizational Structure: The company's operations are closely associated with improving the organizational structure and enhancing the capacity and efficiency of its workforce. Accordingly, in 2024, the BOD approved a plan to restructure the organizational chart and reallocate the company's workforce. This includes reviewing and reorganizing the organizational structure, recruiting talent, and enhancing training and development programs to improve employees' professional qualifications and skills.

- Enhancing the Quality of Advisory Services and Customer Care, Keeping Up with Market Trends: The BOD identifies customers as the central focus of all business activities. Therefore, improving the quality of advisory services and customer care is considered one of the top priorities. The BOD directs relevant departments to continuously enhance processes and service quality while strengthening interactions and listening to customer feedback to best meet their needs. Additionally, professional departments must closely monitor market trends, actively analyze and forecast both microeconomic and macroeconomic conditions to make appropriate and timely management and investment decisions, thereby maximizing opportunities while minimizing potential risks.

- Improving the Efficiency of Proprietary Trading Activities: The BOD directs relevant departments to enhance market analysis and forecasting to make effective proprietary investment decisions, contributing to generating additional value for the company.

- Tightening and Controlling Costs: In the context of economic difficulties, tightening and controlling costs is extremely important. The BOD requires departments to review and cut unnecessary expenses while improving resource utilization efficiency to ensure that the

company's operations are maintained sustainably and in line with the overall economic situation.

In general, the Board of Directors' 2024 operating strategy demonstrates initiative and flexibility and helps the Company somewhat overcome obstacles, challenges, and internal difficulties, as well as other objective hardships. Nevertheless, the Company has not achieved its set business plan. Accordingly, the operating revenue is VND 251.595 million, the profit after tax is VND 17.177 million, specifically:

Unit: Million dong

No.	Category	Plan in 2024	Implementation in 2024
1	Charter Capital	1.648.006	1.648.006
2	Operating Revenue	271.100	251.595
3	Profit After Tax	107.100	17.177

Source: Resolution of the 2024 Annual General Meeting of Shareholders No. 01/2024/NQ-DHĐCĐ dated April 25, 2024 and audited financial statements for 2024

2. Implementation of the Resolutions of the General Meeting of Shareholders

In 2024, the Board of Directors (BOD) and the Executive Board of the Company have strictly implemented the contents of the Annual General Meeting of Shareholders' Resolution No. 01/2024/NQ-ĐHĐCĐ dated April 25, 2024. However, due to significant challenges faced by the global and Vietnamese economies, coupled with complex market fluctuations and unfavorable factors, the business operations of enterprises, including EVS, were significantly affected.

Despite the Company's efforts to implement various adaptive solutions, difficulties arising from the macroeconomic environment have limited operational efficiency. Consequently, the Company's business performance in 2024 only partially met the targets set by the GMS, as outlined in Section 1 of this report.

In 2025, with positive signals and a brighter outlook for both the market and the economy, and maintaining an optimistic spirit and strong determination to overcome challenges, the Company is confident that it will soon navigate through this difficult period, seize opportunities, gradually stabilize operations, and achieve its set objectives.

3. Meetings of the Board of Directors and Activities of Each BOD Member

In 2024, amid general economic difficulties, the Board of Directors (BOD) maintained continuous and effective operations to help the Company sustain its business activities and promptly address challenges. This was demonstrated through the organization of both regular and extraordinary meetings, conducted in-person and online. During these meetings, the BOD discussed and issued important Resolutions/Decisions to ensure smooth management and operations, enabling timely solutions to the challenges the Company faced. Notably, the BOD strengthened its close coordination with the Executive Board in overseeing all aspects of business operations. This collaboration allowed the Company to promptly identify market

opportunities and challenges, ensuring well-informed and timely decisions to respond to fluctuations effectively.

3.1. Board of Directors meetings in 2024

No.	BOD Member	BOD meetings attended	Attendance rate	Reason for being absent
1	Nguyen Hai Chau	18	18/18	
2	Nguyen Thanh Chung	15	15/18	Absent
3	Do Thi Hong Hai	18	18/18	
4	Vu Manh Tien	18	18/18	
5	Vu Hai Anh	18	18/18	
6	Tran Dinh Cuong	18	18/18	
7	Hoang Don Hung	18	18/18	
8	Ngo Thanh Tung	18	18/18	
9	Nguyen Xuan Ha	18	18/18	
10	Pham Hong Minh	18	18/18	

The Resolutions/Decisions of the Board of Directors in 2024 are as follows:

No	Resolutions/Decisions	Date	Content	Passage Rate
1	01/2024/NQ-HDQT	04/01/2024	Approval of the restructuring plan for the organizational chart and company-wide workforce reallocation.	82%
2	02/2024/QĐ-HDQT	15/01/2024	Dismissal of Ms. Ngo Thi Thu Huong from the position of Director of Everest Securities Corporation – Nguyen Trai Branch.	100%
3	03/2024/QĐ-HDQT	15/01/2024	Appointment of Mr. Le Minh Khue as Director of Everest Securities Corporation – Nguyen Trai Branch.	100%
4	04/2024/QĐ-HDQT	15/01/2024	Dismissal of Mr. Pham Hong Minh from the position of Director of Everest Securities Corporation – Saigon Branch.	100%

5	05/2024/QĐ-HĐQT	15/01/2024	Appointment of Mr. Ta Minh Anh as Director of Everest Securities Corporation – Saigon Branch.	100%
6	06/2024/QĐ-HĐQT	01/03/2024	Approval of transactions between the Company and an insider – Mr. Ngo Thanh Tung.	100%
7	07/2024/QĐ-HĐQT	05/03/2024	Convening the 2024 Annual General Meeting of Shareholders of Everest Securities Corporation.	100%
8	08/2024/NQ-HĐQT	27/03/2024	Approval of the contract/transaction between the Company and Dong Nai Building Materials and Fuel Joint Stock Company (DBFC) – a related party of an insider.	100%
9	09/2024/QĐ-HĐQT	01/04/2024	Dismissal of Mr. Le Manh Dan from the position of Director of Everest Securities Corporation – Ms. Trieu Branch.	100%
10	10/2024/NQ-HĐQT	04/04/2024	Decide on the date, location, agenda, and draft documents for the 2024 Annual General Meeting of Shareholders.	100%
11	11/2024/QĐ-HĐQT	01/04/2024	Appointment of Ms. Nguyen Thi Thanh Hang as Director of Everest Securities Corporation – Ms. Trieu Branch.	100%
12	11A/2024/NQ-HĐQT	05/04/2024	Approval of the extension plan for principal and interest payments on bonds issued by Cam Lam Investment Co., Ltd.	100%
13	12/2024/QĐ-HĐQT	08/04/2024	Approval of engaging consultants to advise the Board of Directors and Executive Board on governance and management matters.	90%
14	14/2024/NQ-HĐQT	23/04/2024	Approval of amendments to the General Meeting of Shareholders meeting documents.	100%
15	15/2024/NQ-HĐQT	15/05/2024	Approval of the dismissal of Ms. Ngo Thi Thu Huong from the position of Deputy General Director of Everest Securities Corporation.	100%

16	15A/2024/NQ-HĐQT	15/05/2024	Approval of inviting Ms. Ngo Thi Thu Huong to serve as an advisor to the EVS Audit Committee, under the Board of Directors.	100%
17	16/2024/NQ-HĐQT	16/05/2024	Approval of the corporate bond trading plan.	100%
18	17/2024/NQ-HĐQT	20/05/2024	Establishment of the Human Resources Committee, under the Board of Directors.	100%
19	18/2024/NQ-HĐQT	20/05/2024	Establishment of the Risk Management Committee, under the Board of Directors.	100%
20	19/2024/NQ-HĐQT	20/05/2024	Establishment of the Technology Subcommittee, under the Board of Directors.	100%
21	20/2024/NQ-HĐQT	20/05/2024	Establishment of the Business Development Subcommittee, under the Board of Directors.	100%
22	21/2024/NQ-HĐQT	30/09/2024	Approval of adjustments to the hospitality expense limit and business travel allowances outlined in Sections II and III of Appendix 02 of the EVS Financial Regulations.	100%
23	21A/2024/NQ-HĐQT	09/10/2024	Approval of the issuance of the EVS Risk Management Regulations.	100%
24	22/2024/NQ-HĐQT	24/10/2024	Approval of the investment plan in Mai Vien Real Estate Investment Joint Stock Company and G-AutoMobile Joint Stock Company.	100%
25	24/2024/NQ-HĐQT	24/12/2024	Approval of the plan to transfer LACCH2124001 bonds issued by Hoa Lam An Trading & Services Joint Stock Company.	100%
26	25/2024/NQ-HĐQT	25/12/2024	Approval of the credit limit renewal plan at NCB in 2025 and related matters.	100%
27	26/2024/NQ-HĐQT	26/12/2024	Appointment of Mr. Nguyen Thanh Hai as an advisor to the Board of Directors.	100%

(The Company's transactions as prescribed in Clause 3, Article 280 of Decree 155/2020/ND-CP, if any, will be fully recorded in the 2024 Corporate Governance Report and posted on the Company's website).

To ensure flexibility and efficiency in operations, in addition to in-person meetings, the Board of Directors (BOD) also maintains discussions and seeks opinions from members through remote conferences, email, and phone communication. This approach helps reduce costs while enhancing the effectiveness of information exchange among BOD members, ensuring that tasks are handled promptly and efficiently.

The Chairman of the BOD is responsible for overall leadership, developing the agenda and operational plans of the BOD, closely monitoring business activities, and ensuring the implementation of resolutions approved by the General Meeting of Shareholders (GMS). Additionally, the Chairman, along with other BOD members, directs and oversees the execution of decisions and handles arising matters within the Company's operational areas under the authority and duties of the BOD.

3.2. Other Directives and Supervisory Activities of the Board of Directors

In 2024, the members of the Board of Directors (BOD) worked closely together with a high sense of responsibility to make accurate and timely decisions, gradually addressing the challenges faced by the Company. The BOD played a key role in setting directions, providing guidance, supervising the implementation of policies and decisions, and effectively supporting the Executive Board in the Company's business operations.

- **Collaboration with the Executive Board:** The Chairman of the BOD frequently communicates with the Executive Board to stay updated on business performance, provide strategic direction, and address proposals and recommendations, ensuring that business operations align with market fluctuations.
- **Monitoring Business Plans and Market Adaptation:** The BOD actively monitored the key targets assigned by the General Meeting of Shareholders (GMS) and adjusted the Company's activities flexibly based on real-time market analysis. Although the business results did not fully meet the planned targets, all decisions made by the BOD were based on a thorough evaluation of the Company's actual conditions, considering market challenges and economic fluctuations. Additionally, the BOD regularly revised, adjusted, supplemented, and issued securities trading regulations and procedures to ensure full and timely compliance with disclosure requirements set by the State Securities Commission and other authorities.
- **Close Oversight by the Executive Board:** The Executive Board closely directed and managed all aspects of the Company's operations, ensuring alignment with the BOD's goal of sustainable development. The focus remains on maintaining, strengthening, and gradually improving the Company's performance to overcome challenges. Furthermore, the Executive Board provided timely reports to the BOD, enabling strategic adjustments in response to market developments and new positive signals expected in 2025.

4. Remuneration of the Board of Directors and Audit Committee in 2024

Unit: VND

No.	Category	Number of people	Remuneration fund paid in 2024 (VND)
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A	Board of Directors Remuneration		
1	Chairman of the Board	1	3.650.000.000
2	Board of Directors Members	10	4.566.640.782
B	Audit Committee Remuneration		
1	Chairman of the Audit Committee	1	600.000.000
2	Audit Committee Members	1	320.400.000
	Total		9.137.040.782

The total remuneration fund for the Board of Directors and the Audit Committee in 2024 approved by the General Meeting of Shareholders is VND 9.500.000.000 (Nine billion, five hundred million dong), the remuneration paid to the members of the Board of Directors is: VND 9.137.040.782 (Nine billion, one hundred thirty-seven million, zero hundred forty thousand, seven hundred eighty-two dong).

For members of the Board of Directors who concurrently hold other positions at the Company, other income is paid according to the Company's salary regime.

5. Supervision Results of the Executive Board and Management Personnel

Despite facing general economic challenges, the Executive Board and management personnel have made significant efforts to ensure effective business operations. All Company activities have been fully reported to the Board of Directors (BOD), while also providing necessary information and maximum support to the Audit Committee and other subordinate units of the BOD.

The Executive Board has proactively implemented directives, policies, resolutions, and decisions issued by the BOD, focusing on the following key areas:

- **Efficient and Prudent Investment Implementation:** The Company has diversified its investment portfolio to minimize risks while ensuring that asset purchases, expenditures, and business decisions align with its financial situation and real-time market conditions.
- **Regulatory Compliance in Securities Trading:** The Company has reviewed, adjusted, supplemented, and issued securities trading regulations and procedures in full compliance with current legal requirements.
- **Market Adaptation and Responsiveness:** The Company has promptly updated and aligned its operations to meet evolving market demands and regulatory requirements.
- **Employee Training and Compensation Policies:** The Company has focused on employee training and development, workforce improving quality, and implementing appropriate fee policies and commission structures.

II. ACTIVITIES OF INDEPENDENT BOARD MEMBERS AND EVALUATION RESULTS OF THE INDEPENDENT BOARD MEMBERS ON THE PERFORMANCE OF THE BOARD OF DIRECTORS

1. List of Independent Board Members

Currently, within the structure of the Board of Directors (BOD) of EVS, 3 out of 10 members meet the criteria for independent board membership, in accordance with Decree No. 155/2020/NĐ-CP, issued on December 31, 2020. The list of independent BOD members is as follows:

- Ms. Do Thi Hong Hai – Independent Board Member
- Mr. Tran Dinh Cuong – Independent Board Member
- Mr. Hoang Don Hung – Independent Board Member

2. Activities of Independent Board Members

In accordance with legal regulations, the Company's Charter, and other internal regulations, in 2024, the Independent Board Members fully exercised their rights, functions, and responsibilities in overseeing and managing the Company through the Board of Directors (BOD). Their contributions enhance the transparency, objectivity, and efficiency of the Company's operations. As independent members, they provided objective opinions, contributions, and evaluations, helping the BOD make well-informed decisions by considering various perspectives.

In addition to actively attending all BOD meetings and participating in the review and consultation of various corporate activities, the Independent Board Members played a critical role in assessing and monitoring the performance of the BOD, the Executive Board, and the Company as a whole. This ensures compliance with regulations, protected shareholder interests, mitigated conflicts, and balances the interests of all stakeholders

3. Evaluation of the Board of Directors' Performance

3.1. Organization of BOD Meetings

- In 2024, the Board of Directors (BOD) convened and conducted meetings in compliance with legal regulations and the Company's Charter. Meeting notices and relevant documents were fully distributed to BOD members in advance, ensuring they had adequate time for review and preparation. The matters discussed during meetings were thoroughly and comprehensively considered by the members to make well-informed decisions and propose the most effective solutions for the Company.
- The BOD regularly reviewed and assessed key strategic issues, including business development strategies, corporate culture, and management systems, during its periodic meetings. This focus demonstrated the BOD's commitment to establishing a strong foundation for the Company's sustainable development, despite prevailing economic challenges, while also preparing for future opportunities when the economy and market conditions improve.
- All BOD decisions made during these meetings strictly adhered to legal regulations, the Company's Charter, internal governance policies, and resolutions of the General Meeting of Shareholders (GMS). These decisions were aligned with approved business strategies and plans, while also taking into account the Company's actual circumstances and market fluctuations. Meeting minutes were fully documented, signed by attending members, and properly formatted in compliance with legal requirements, ensuring transparency and legal validity of all decisions.

3.2. Supervision of the CEO and Other Executive Board Members

- The Board of Directors (BOD) has maintained regular and strict supervision over the operations and management activities of the Executive Board, ensuring that the Company's activities remain stable, secure, and legally compliant. The frequent participation of BOD members holding executive positions in Executive Board meetings has allowed the BOD to stay well-informed about the Company's performance. Additionally, the coordination between the BOD and the Executive Board has facilitated the timely development of appropriate policies and decisions in response to the economic and market fluctuations in 2024, enabling the Company to address challenges effectively.
- In the challenging landscape of 2025, where the economy and stock market are expected to face continued difficulties, the management team at all levels has demonstrated proactiveness and a strong sense of responsibility in executing their assigned tasks under the directives of the relevant authorities. This has played a crucial role in ensuring the Company's operational stability. Moreover, the focus remains on enhancing service quality and improving employees' professional skills to better meet customer needs and prepare for emerging opportunities when the economic situation improves.
- Throughout its operations, the Executive Board has received professional support and guidance from the BOD. BOD members have shared their expertise and provided strategic advice to management-level executives during periodic meetings. This interaction has helped improve management capabilities and enabled more accurate decision-making, ensuring that the Company can promptly adapt to market fluctuations.

3.3. General Evaluation of the Board of Directors' Performance

- Overall, the Board of Directors (BOD) in 2024 has operated in compliance with the resolutions of the General Meeting of Shareholders (GMS), the Company's Charter, and Internal Governance Regulations, demonstrating the BOD's commitment to ensuring transparency and clarity in the Company's operations. Although the Company did not achieve its expected business results as planned, given the challenging economic conditions and numerous obstacles, the BOD made significant efforts to maintain operational stability while focusing on long-term objectives to gradually enhance the Company's capabilities and prepare for future growth opportunities.
- All BOD members have demonstrated dedication and professionalism, carrying out their responsibilities with a high sense of accountability. They have fulfilled their roles effectively, making timely and well-informed decisions in strict compliance with legal regulations. The BOD has successfully executed its strategic leadership function, overseeing, adjusting, and controlling key business decisions. The Executive Board's policies and actions have been carefully reviewed and evaluated by the BOD to ensure optimal corporate benefits, aligned with objective market conditions. The BOD's efforts in 2024 have not only helped the Company navigate economic difficulties but also laid a strong foundation for future growth and development.

III. ACTIVITIES OF THE AUDIT COMMITTEE AND SUB-COMMITTEES/ COUNCILS/ UNITS UNDER THE BOARD OF DIRECTORS.

1. List and members of Sub-committees/ Councils/ Units under the Board of Directors

In order to increase the efficiency of operations within its authority and bring maximum benefits to the Company, the Board of Directors has established the Audit Committee and

Sub-committees/Councils/Units under the Board of Directors as supporting agencies for the Board of Directors in the Company's operations. The Sub-committees/Councils/Units under the Board of Directors and the members of each unit up to the present time are as follows:

No.	Full name	Position
I	Audit Committee	
1	Ms. Do Thi Hong Hai	Chairman of the Audit Committee
2	Mr. Nguyen Hai Chau	Member of the Audit Committee
II	Standing Board of Directors	
1	Mr. Nguyen Hai Chau	Chairman of the Board of Directors
2	Mr. Vu Manh Tien	Member of the Board of Directors
3	Mr. Pham Hong Minh	Member of the Board of Directors & General Director
4	Ms. Do Thi Hong Hai	Independent Member of the Board of Directors
5	Mr. Tran Dinh Cuong	Independent Member of the Board of Directors
6	Mr. Hoang Don Hung	Independent Member of the Board of Directors
7	Ms. Vu Hai Anh	Member of the Board of Directors
III	Investment Council	
1	Mr. Nguyen Hai Chau	Chairman of the Investment Council
2	Mr. Vu Manh Tien	Member of the Investment Council
3	Mr. Nguyen Thanh Chung	Member of the Investment Council
4	Ms. Ngo Thi Thu Huong	Member of the Investment Council
IV	Human Resource Committee	
1	Mr. Nguyen Hai Chau	Head of Human Resource Committee
2	Mr. Pham Hong Minh	Member of Human Resource Committee
3	Mr. Ngo Thanh Tung	Member of Human Resource Committee
4	Ms. Vu Hai Anh	Member of Human Resource Committee
V	Risk Management Committee	
1	Mr. Vu Manh Tien	Head of the Risk Management Committee

No.	Full name	Position
2	Ms. Do Thi Hong Hai	Member of the Risk Management Committee
3	Mr. Hoang Don Hung	Member of the Risk Management Committee
4	Ms. Ngo Thi Thu Huong	Secretary of the Risk Management Committee
VI	Technology Subcommittee	
1	Mr. Nguyen Hai Chau	Head of the Technology Subcommittee
2	Mr. Pham Hong Minh	Member of the Technology Subcommittee
VII	Business Development Subcommittee	
1	Mr. Pham Hong Minh	Head of the Business Development Subcommittee
2	Ms. Do Thi Hong Hai	Member of the Business Development Subcommittee
3	Mr. Nguyen Thanh Chung	Member of the Business Development Subcommittee

2. Activities of the Audit Committee

- ✓ The Audit Committee's activities mainly include the following key areas:
- Supervising and reviewing the Company's financial statements and official disclosures related to its financial performance, as well as evaluating the internal control and risk management systems.
 - Reviewing related-party transactions that fall under the approval authority of the Board of Directors (BOD).
 - Monitoring compliance to ensure the Company adheres to legal regulations, regulatory requirements, and internal corporate policies.
 - Developing and recommending risk identification and management policies, and considering solutions to the BOD for handling risks that arise in the Company's operations.
 - Carrying out other activities as prescribed by the Company's Charter and applicable laws.

✓ Audit Committee meetings in 2024:

No.	Audit Committee member	Meetings attended	Attendance rate	Voting rate	Reason for being absent
1	Do Thi Hong Hai	3	3/3	100%	

2	Nguyen Hai Chau	3	3/3	100%	
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- ✓ The Audit Committee held meetings in 2024 covering the following key topics:
- Finalizing the organizational structure and operations of the Audit Committee.
 - Reviewing accounting records and auditing the Company's financial statements.
 - Comprehensive assessment of business operations, financial status, and legal compliance of the Company in 2024.
 - Planning for inspection and supervision of the Company's operations and financial situation for 2024.

3. Activities of the Standing Board of Directors

- ✓ The activities of the Standing Board of Directors include the following main contents:
- On behalf of the Board of Directors to resolve a number of issues under the authority of the Board of Directors related to personnel, including appointment, dismissal, removal, decision on salary, benefits... for positions according to the Company's internal regulations.
 - Organizing, inspecting and supervising the implementation of policies, resolutions, decisions and directions of the General Meeting of Shareholders and the Board of Directors.
 - Monitoring, directing and inspecting, supervising the Company's executive apparatus in performing assigned tasks, the ability to organize and implement business plans, complying with the Company's internal regulations, the Company's Charter and current regulations of law.
 - Exercising other powers and tasks assigned/authorized by the Board of Directors arising during the operation and reporting to the Board of Directors at meetings on decisions and approvals related to the above issues.

- ✓ Meetings of the Standing Board of Directors in 2024:

No.	Standing Member of Board of Directors	Meetings attended	Attendance rate	Voting rate	Reason for being absent
1	Nguyen Hai Chau	1	1/1	100%	
2	Vu Manh Tien	1	1/1	100%	
3	Pham Hong Minh	1	1/1	100%	
4	Do Thi Hong Hai	1	1/1	100%	
5	Tran Dinh Cuong	1	1/1	100%	
6	Hoang Don Hung	1	1/1	100%	
7	Vu Hai Anh	1	1/1	100%	

4. Investment Council's activities

- ✓ The Investment Council's activities include the following main contents:
 - The Investment Council is under the Board of Directors, specializing in and assisting the Board of Directors in investment work with the aim of orienting, promoting and developing the Company's investment activities to achieve the highest efficiency;
 - Advise and make recommendations to the Board of Directors in developing, adjusting and supplementing investment orientations and strategies, investment policies, operating mechanisms, investment management, regulations, processes, rules... and other issues related to investment activities in accordance with the actual business operations at EVS and the policies and guidelines of competent State agencies, and legal regulations;
 - Propose investment opportunities and plans to the Board of Directors for approval;
 - Decide on investments within the scope of authorization/assignment of the Board of Directors according to the Investment Regulations, relevant documents of the Company and legal regulations;
 - Review and evaluate the suitability and effectiveness of EVS' investment processes and mechanisms to make recommendations and proposals to the Board of Directors on changes required;
 - Monitor compliance with regulations, processes and investment policies of the Board of Directors;
 - Monitor investment activities carried out by the General Director;
 - Other activities as assigned by the Board of Directors, Company regulations and laws.
- ✓ Investment Council meetings in 2024:

No.	Member of the Investment Council	Meetings attended	Attendance rate	Voting rate	Reason for being absent
1	Nguyen Hai Chau	1	1/1	100%	
2	Vu Manh Tien	1	1/1	100%	
3	Nguyen Thanh Chung	1	1/1	100%	
4	Ngo Thi Thu Huong	1	1/1	100%	

5. Activities of the Human Resources Committee

- ✓ Activities of the Human Resources Committee include the following main contents:
 - Advising the Board of Directors on the organizational structure for the Board of Directors;
 - Advising the Board of Directors on human resource development and management strategies;
 - Consulting, supporting, and supervising the implementation of training plans including: training programs, training targets, training schedules, and training costs.

✓ Meetings of the Human Resources Committee in 2024:

No.	Member of the Human Resources Committee	Meetings attended	Attendance rate	Voting rate	Reason for being absent
1	Nguyen Hai Chau	1	1/1	100%	
2	Pham Hong Minh	1	1/1	100%	
3	Ngo Thanh Tung	1	1/1	100%	
4	Vu Hai Anh	1	1/1	100%	

6. Activities of the Risk Management Committee

✓ The activities of the Risk Management Committee include the following main contents:

- Advise the Board of Directors in promulgating processes and policies under the authority of the Risk Management Committee related to risk management in business activities according to the provisions of law and regulations of the Company;
- Analyze and give warnings about the Company's capital safety level in the face of risks, potential risks that may affect and preventive measures for short-term and long-term risks;
- Review and evaluate the suitability and effectiveness of current risk management processes and policies to make recommendations and proposals to the Board of Directors on requirements for changes to current processes, policies, and business strategies of the Company;
- Advise the Board of Directors in deciding to approve investments, related transactions, governance policies and risk management plans within the scope of functions and tasks assigned by the Board of Directors.

✓ Risk Management Committee meetings in 2024:

No.	Member of Risk Management Committee	Meetings attended	Attendance rate	Voting rate	Reason for being absent
1	Vu Manh Tien	1	1/1	100%	
2	Do Thi Hong Hai	1	1/1	100%	
3	Hoang Don Hung	1	1/1	100%	
4	Ngo Thi Thu Huong	1	1/1	100%	

7. Activities of the Technology Subcommittee

✓ The activities of the Technology Subcommittee include the following main contents:

- Advising the Board of Directors on plans, norms, and strategic orientations for technology development to improve governance capacity and competitiveness in securities management;
 - Researching, developing and appraising before submitting to the Board of Directors policies related to technology issues under the authority of the Board of Directors.
- ✓ Meetings of the Technology Subcommittee in 2024:

No.	Member of the Technology Subcommittee	Meetings attended	Attendance rate	Voting rate	Reason for being absent
1	Nguyen Hai Chau	1	1/1	100%	
2	Pham Hong Minh	1	1/1	100%	

8. Activities of the Business Development Subcommittee:

- ✓ The activities of the Business Development Subcommittee include the following main contents:
- Advise the Board of Directors to orient EVS's product development in each specific period;
 - Evaluate and approve EVS's products/product programs based on market demand, expected revenue, risk level and investment costs related to each specific product;
 - Monitor the implementation of products/product programs to ensure that product-related risks are identified, assessed and controlled appropriately, in compliance with relevant policies and approvals;
 - Advise the Board of Directors and the Executive Board to establish control steps and assign responsibilities to relevant units and individuals in product development when necessary;
 - Evaluate and approve high-risk modifications and adjustments to existing products and related complex transactions.
- ✓ Business Development Subcommittee meetings in 2024:

No.	Member of Business Development Subcommittee	Meetings attended	Attendance rate	Voting rate	Reason for being absent
1	Pham Hong Minh	1	1/1	100%	
2	Do Thi Hong Hai	1	1/1	100%	
3	Nguyen Thanh Chung	1	1/1	100%	

IV. ORIENTATION AND OPERATION PLAN OF THE BOARD OF DIRECTORS IN 2025

The Company sets some business plan targets for 2025 as follows:

Unit: Million dong

No	Category	Implementation in 2024	Plan in 2025
1	Charter Capital	1.648.006	1.648.006
2	Operating Revenue	251.595	174.700
3	Profit Before Tax	21.324	11.700
4	Profit After Tax	17.177	9.360

To facilitate the business objectives in 2025, the Board of Directors of the Company proposes that the General Meeting of Shareholders approve a number of key activities in 2025 as follows:

- Strengthen supervision and risk management: Strengthen supervision, inspection and risk management for business activities, ensuring full compliance with legal regulations.
- Improve the efficiency of brokerage, research and consulting activities: Actively research and implement solutions to improve the efficiency of brokerage, research and consulting activities, focusing on clearly identifying target customers, providing products and services with competitive advantages, suitable for the market situation.
- Develop products and trading systems: Continue to deploy bond products and trading systems, and promote the implementation of derivative securities business after being approved by the General Meeting of Shareholders.
- Investment in facilities and technology: Focus on investing in facilities and information technology systems to improve productivity, work efficiency, improve customer service quality and competitiveness.
- Effective investment and risk reduction: Continue to focus on thorough research on the general economic situation and the stock market situation in particular, the fundamental factors and actual conditions of the Company to make reasonable and effective proposals and decisions in the context of many obstacles and challenges. At the same time, diversify the investment portfolio to minimize risks as well as comply with investment discipline and strict risk management.

The above is the report of the Board of Directors on the governance and performance of the Board of Directors and each member of the Board of Directors in 2024 and the direction of operation in 2025, the Board of Directors reports to the General Meeting of Shareholders of Everest Securities Joint Stock Company for consideration and approval./.

Recipient:

- As above;
- Executive Board;
- Archive: BOD office.

PP. BOARD OF DIRECTOR

PRESIDENT



Nguyen Hai Chau

No.: 01./2025/BC-UBKT

Hanoi, dated 01.. month 04.. year 2025

REPORT

ACTIVITIES OF INDEPENDENT MEMBERS OF THE BOARD OF DIRECTORS IN THE AUDIT COMMITTEE IN 2024

Dear: General Meeting of Shareholders of Everest Securities Joint Stock Company

Pursuant to the powers and duties of the Audit Committee as stipulated in the Enterprise Law No. 59/2020/QH14 dated June 17, 2020 and Law No. 03/2022/QH15 dated January 11, 2022 amending and supplementing a number of articles of the Enterprise Law No. 59/2020/QH14;

Pursuant to the Charter of Everest Securities Joint Stock Company No. 01/2021/NQ-DHDCD dated March 2, 2021 and amendments and supplements; The independent members of the Board of Directors (“BOD”) in the Audit Committee (“ABC”) would like to report to the General Meeting of Shareholders (“GMS”) of Everest Securities Joint Stock Company (“Company/EVS”) on its operations in 2024 as follows:

I. Report on activities of the Audit Committee in 2024

1. List of independent members of the Board of Directors in the Audit Committee

- As of December 31, 2024, the Company's Board of Directors has 03 independent members out of a total of 10 members, in accordance with the provisions of the Law on Enterprises, the current Law on Securities and the EVS Charter, specifically including:

No.	BOD Independent Member	Start/End Date as a BOD Independent Member	
		Appointment Date	Dismissal Date
1	Do Thi Hong Hai	30/09/2021	
2	Hoang Don Hung	02/11/2023	
3	Tran Dinh Cuong	02/11/2023	

- List of Independent Members of the Board of Directors in the Audit Committee in 2024:

No.	BOD Independent Member	Start/End Date as a BOD Independent Member	
		Appointment Date	Dismissal Date
1	Do Thi Hong Hai	30/09/2021	

2. Audit Committee Member

- Currently, the Audit Committee has 02 members: 01 Chairman of the Audit Committee (an independent member of the Board of Directors), 01 member of the Audit Committee (a non-executive member of the Board of Directors).
- List of members of the Company's Audit Committee in 2024:

No.	Member of Audit Committee	Position	Start Date as a member of Audit Committee	End Date as a member of Audit Committee	Reason for adjustment
1	Do Thi Hong Hai	Chairman of the Audit Committee	30/09/2021		Appointed
2	Nguyen Hai Chau	Member of the Audit Committee	05/04/2021		Appointed

3. Meetings and conclusions, recommendations of the Audit Committee

No.	Member of Audit Committee	Meetings attended	Attendance rate	Voting rate	Reason for being absent
1	Do Thi Hong Hai	03	3/3	100%	
2	Nguyen Hai Chau	03	3/3	100%	

During the year, the Audit Committee performed the following tasks:

- Monitored the financial situation and evaluated the implementation results of the 2024 plan;
- Reviewed the internal control and risk management system;
- Supervised the Company's internal audit department;
- Reviewed related-party transactions to ensure compliance with regulatory requirements;
- Recommended to the Board of Directors the selection of an independent auditing firm to conduct the review/audit of the financial statements for the fiscal year 2024;
- Monitored the auditing process of financial statements conducted by the independent auditor;
- Attended Board of Directors meetings, provided feedback on management reports, and contributed opinions on business operation strategies to ensure the Company operates in compliance with legal regulations.

4. Remuneration, operating expenses and other benefits of the Audit Committee and each member of the Audit Committee

Audit Committee members receive monthly remuneration according to their level of contribution and position. Audit Committee members' remuneration is disclosed in detail in the Company's audited financial report for 2024.

II. Audit Committee's performance in 2024

1. Supervision of financial reports, financial situation, and performance

a. Supervision of financial reports, financial situation

According to the 2024 financial report, the Audit Committee reported the results as follows:

- In 2024, the Company's accounting work, including recording, storing documents, preparing accounting books and Financial Statements... fully reflected the Company's financial situation as well as business results as of December 31, 2024.
- The 2024 Financial Statements are prepared and presented in accordance with Vietnamese Accounting Standards (VAS), the accounting regime applicable to Vietnamese securities enterprises and current regulations. The Company has prepared and published Periodic Financial Statements in accordance with regulations applicable to listed companies. The Semi-Annual Financial Statements and the 2024 Financial Statements were reviewed and audited by AASC Auditing Company Limited in accordance with current regulations.
- The preparation and publication of the Company's Periodic Financial Statements are carried out in accordance with the provisions of law. Financial safety ratio report.

Business performance indicators for 2024: Details according to the Company's attached financial report.

b. Monitoring of operations

- The Company's operations in 2024 are carried out in accordance with the legal framework and the Company's Charter and internal regulations. All EVS stock transactions by insiders are disclosed in accordance with regulations.

2. Assessment of transactions with related parties

For the transactions between the Company and related parties that were audited, the Audit Committee believes that these transactions were signed and implemented in compliance with the Company's charter and legal regulations.

3. Assessment results of internal control and risk management systems

- In 2024, the internal audit department conducted monthly periodic reviews of financial safety ratio reports and quarterly audits of financial statements. The results showed that these reports fully complied with the provisions of accounting law and fully reflected the arising transactions.
- The Internal Audit Department has conducted controls over all departments within the company and has not identified any material risks. Simultaneously, they have provided consultation to business units to complete and supplement any missing or non-compliant items with legal regulations.
- In general, internal control and risk management activities in the past year have played a proactive role in preventing and limiting risks. The internal management system has also promptly made recommendations, helping to promptly prevent risks as well as effectively overcome limitations and errors arising during operations.

4. Results of supervision of the Board of Directors, General Director and other executives of the Company

- The Board of Directors, General Director and other executives have operated the Company's activities in accordance with the objectives set by the General Meeting of Shareholders at the annual meeting.

- Through supervision activities, the Audit Committee directly supervises the activities of the Board of Directors through direct meetings, participating in voting on issues that need to be decided by the Board of Directors.
- Supervises the activities of the Executive Board through the implementation of the Board of Directors' Resolutions as well as the mechanism of decentralization between the Board of Directors and the General Director.
- Through the reporting channel system and direct contact with the General Director and specialized departments to grasp the situation.
- In the context that the economy in 2025 is forecasted to still face many difficulties and fluctuations due to the economic recession, the Company needs to proactively monitor market developments closely, develop detailed plans with many different scenarios, and prepare comprehensive solutions to ensure safe and effective business plans.

5. Some recommendations

a. Comments

- The Board of Directors and the Executive Board have managed and operated the Company's activities in accordance with the direction set forth by the General Meeting of Shareholders. Transactions of insiders and related parties are approved by competent authorities and comply with the Company's charter and legal regulations.
- Compliance with regulations on clearing and settlement, and information disclosure are still slow and do not ensure timely payment activities according to regulations.

b. Recommendations

- The Company should continue to focus on developing traditional and core business segments. In addition, promote research and development of new services in line with market trends on the basis of ensuring compliance with risk management requirements as prescribed by the Company and the law.
- The Board of Directors and the Company's management staff continue to strengthen support and closely coordinate with the Board of Directors in general and the Audit Committee in particular to improve control efficiency and operational efficiency to achieve assigned goals and tasks.
- Closely monitor receivables, payables and investments to limit risks in payment activities and business operations.
- Continue to review and update internal procedures in accordance with current laws, enhance knowledge training, and communicate to all relevant staff to ensure compliance for each employee.
- Strictly comply with regulations and deadlines for information disclosure.

III. 2025 Operational Orientation of the Audit Committee

Based on the tasks of the Audit Committee stipulated in the Company Charter and related regulations, the Audit Committee has the following plan for 2025:

- Monitor and evaluate the implementation of the 2025 plan, evaluate and analyze financial indicators every 6 months in 2025;

- Monitor the implementation of the risk management and internal governance systems;
- Monitor the activities of the Internal Audit Department;
- Review transactions with related parties under the approval authority of the Board of Directors;
- Monitor and evaluate the independence and objectivity of the independent auditing company and the effectiveness of the auditing process;
- Evaluate the coordination between the Audit Committee and the activities of the Board of Directors, the Executive Board and other management departments;
- Supervision is to ensure that the company complies with the provisions of law, requirements of the management agency and other internal regulations of the company.

The above is the Report of the independent member of the Board of Directors in the Audit Committee in 2024, respectfully submitted to the 2025 Annual General Meeting of Shareholders for consideration and approval.

Sincerely!

Recipient:

- As above;
- Archive: BOD Office.

**INDEPENDENT MEMBER OF THE
BOARD OF DIRECTORS**



Do Thi Hong Hai



REPORT

BUSINESS PERFORMANCE RESULTS FOR 2024 AND OPERATIONAL PLAN FOR 2025

I. BUSINESS PERFORMANCE RESULTS FOR 2024

1. Overview of the Stock Market in 2024

In 2024, Vietnam's economy showed signs of recovery but still faced many challenges. GDP grew by 7,09%, surpassing the initial target, driven by the industrial and services sectors, while agriculture was impacted by natural disasters. Inflation was controlled at 3,63%, but the USD/VND exchange rate rose by 5%, prompting the State Bank of Vietnam to intervene. Exports grew strongly, with significant contributions from domestic businesses, while FDI disbursement remained positive, supported by high-tech industries.

The VN-Index fluctuated with an upward trend, ending the year at 1.266 points, a 12,11% increase, with average liquidity reaching VND 21 trillion per session. The corporate bond market gradually stabilized, with total capital raised reaching VND 485 trillion, mainly from commercial banks. Additionally, Vietnam aims to upgrade its stock market to an emerging market in 2025, along with a strategy to accelerate digital transformation in line with Resolution 57-NQ/TW.

2. Business Performance Results For 2024

With the fluctuations of the stock market in 2024, the Company's 2024 business performance results, although not yet achieved as planned by the General Meeting of Shareholders, have achieved certain results:

Unit: Million dong

No	Category	Plan (P) for 2024	Implementation (I) for 2024	I/P 2024
1	Operating Revenue	271.100	251.595	92,81%
2	Profit After Tax	107.100	17.177	16,04%

Source: Audited financial statements 2024

Some key business performance indicators in 2024 compared to 2023 of the Company are:

Unit: Million dong

No	Category	Year 2024	Year 2023	Growth (%)
1	Operating Revenue	251.595	382.991	-34,31%
2	Operating Expenses	143.355	258.470	-44,54%
3	Financial Revenue	10.223	12.312	-16,97%

No	Category	Year 2024	Year 2023	Growth (%)
4	Financial Expenses	33.105	32.518	1,81%
5	Securities Company Management Expenses	63.999	61.331	4,35%
6	Accounting Profit Before Corporate Income Tax	21.324	42.773	-50,15%
7	Accounting Profit After Corporate Income Tax	17.177	33.313	-48,44%

Source: Audited financial statements 2024

The structure of operating revenue in 2024 mainly focuses on interest from financial assets recognized through profit/loss (FVTPL), interest from loans and receivables and securities brokerage revenue, the rest is revenue from interest from investments held to maturity, revenue from underwriting services, securities issuance agency, revenue from securities custody services, revenue from financial consulting activities, and other operating income.

3. Business segments in 2024

❖ Securities Brokerage

Revenue from securities brokerage accounted for 8,17% of total operating revenue in 2024 (2023: 6,95%). As of December 31, 2024, the number of clients with trading accounts at EVS reached 15.323, with 336 new accounts opened during the year. The total transaction value executed by investors in 2024 was VND 15.671 billion, a decrease of 22,73% compared to the same period in 2023.

❖ Investment Analysis & Advisory

Throughout the year, the company heavily invested in and developed a high-quality research and analysis team, expanding and enhancing research products for proprietary trading and investment advisory services. Special attention was given to creating weekly stock selection reports and industry/stock reports with high practicality, flexibility, and timeliness to cater to the specific needs of different client groups.

❖ Proprietary Trading (Investment Activities)

The company conducted proprietary trading with a cautious approach, based on in-depth market and company research. In 2024, profit from financial assets recorded at fair value through profit or loss (FVTPL) reached VND 201,24 billion, down 37,3% from VND 320,89 billion in 2023.

❖ Financial services provision

In addition to diversifying and flexibly offering financial products to meet customer needs, EVS continued to focus on expanding and strengthening partnerships with institutions and individuals to optimize resource utilization for its products. Despite market challenges, the outstanding balance of securities margin loans reached VND 210,8 billion as of December 31, 2024, an increase of 33,25% compared to the end of 2023. However, interest income from loans and receivables stood at VND 26,6 billion, down 16% from the same period in 2023 due to declining market interest rates in 2024.

❖ Investment Banking Services

The difficulties in the stock market in 2024 significantly impacted the company's investment banking services. By the end of 2024, revenue from investment banking services reached VND 1,3 billion.

Throughout the year, EVS primarily focused on providing traditional corporate financial advisory services. Additionally, to enhance the diversity and efficiency of its financial advisory offerings, EVS successfully developed and implemented two online platforms: **E-Voting**, a virtual general meeting solution for shareholders, and **B-Voting**, an online bondholder meeting system. Furthermore, the company placed emphasis on building a corporate client portfolio across various industries and establishing partnerships with international entities to unlock new opportunities for its investment banking services.

II. 2025 BUSINESS PLAN

Based on the 2024 business results and the forecast of the stock market situation in 2025, the EVS Board of Directors proposes the 2025 business plan as follows:

2025 Business Plan Targets of the Company:

Unit: Million dong

No.	Category	Implementation in 2024	Plan for 2025
1	Charter Capital	1.648.006	1.648.006
2	Operating Revenue	261.858	174.770
3	Profit Before Tax	21.323	11.700
4	Profit After Tax	17.059	9.360

The Company builds a business plan for 2025 with a low profit target due to a focus on company restructuring, financial handling of receivables and ineffective investments from previous periods that are at high risk of loss, in order to supplement resources for business expansion.

Specifically, the solutions to implement the Company's 2025 business plan are as follows:

1. **Securities Brokerage Activities:**

- Focus on expanding the brokerage business and improving the quality of products and services to better serve customers.

- Expand the brokerage network and customer base by leveraging strategic partnerships to integrate customer ecosystems.

2. **Capital & Proprietary Investment Activities**

- Closely monitor the market, seize investment opportunities, and make quick and flexible investment decisions.

- Restructure the investment portfolio, establish a roadmap for divesting receivables to generate capital for 2025 business operations.

- Expand capital activities by increasing fundraising efforts while scaling up margin lending to enhance profitability.

3. **Investment Banking Services:**

- Invest in both the quantity and quality of personnel, focusing on investment analysis and evaluating investment opportunities to provide high-quality services to clients.

- Strengthen and diversify corporate financial advisory services to better meet market demand.

4. Operational Optimization:

- Streamline business processes to reduce costs and enhance efficiency.

- Conduct regular monthly, quarterly, and annual reviews of operational regulations and record-keeping to ensure compliance.

- Restructure branches, including relocating offices and reallocating operational staff, to optimize costs.

5. Information Technology Solutions

- Ensure system stability and compliance with cybersecurity regulations, preventing transaction errors.

- Invest in the development and enhancement of the Core system, integrating digital solutions into business operations and management for a comprehensive digital transformation to improve customer experience.

- Establish an automated fire protection system and backup power system to ensure the Core system's operational safety.

6. Corporate Governance:

- Continue refining policies and procedures to improve management efficiency and enhance professionalism in customer service.

- Strengthen supervision, inspection, and risk management to ensure compliance with legal and internal regulations.

- Expand internal and external communications, enhance brand positioning, and ensure robust information security management.

Above is the report on business performance in 2024 and the operating plan for 2025, the Chief Executive Officer reports and respectfully submits to the General Meeting of Shareholders of Everest Securities Joint Stock Company for consideration and approval./.

Recipients:

- As above;
- Board of Directors;
- Archive: Executive Board Office.

**PP. EXECUTIVE MANAGEMENT
CHIEF EXECUTIVE OFFICER**



Nguyen Thanh Hai

PROPOSAL

*Re: Approval of the 2024 Audited Financial Statements and
the 2024 Profit Distribution Plan*

Dear: General Meeting of Shareholders

- Pursuant to the Law on Enterprises No. 59/2020/QH14 issued on June 17, 2020 and documents amending, supplementing and guiding its implementation;
- Pursuant to the Law on Securities No. 54/2019/QH14 issued on November 26, 2019 and documents amending, supplementing and guiding its implementation;
- Pursuant to the Audited Financial Statements of Everest Securities Joint Stock Company in 2024;
- Pursuant to the Business Results of Everest Securities Joint Stock Company in 2024;
- Pursuant to the Charter of Everest Securities Joint Stock Company;

The Board of Directors (BOD) respectfully submits to the General Meeting of Shareholders (GMS) for approval of the Audited Financial Statements for 2024 and the detailed Profit Distribution Plan for 2024 as follows:

1. Audited financial statements 2024

Pursuant to Resolution No. 01/2024/NQ-ĐHĐCĐ dated April 25, 2024 of the General Meeting of Shareholders of Everest Securities Joint Stock Company ("Company"), the BOD of the Company has selected AASC Auditing Company Limited as the auditor of the Company's 2024 financial statements. Based on the audit results of the 2024 Financial Statements, the BOD of the Company respectfully submits to the General Meeting of Shareholders for approval the audited 2024 Financial Statements with the following basic indicators:

Unit: VND

No.	Category	Value
1	Total Assets	2.466.957.188.296
2	Liabilities	499.394.898.126
3	Equity	1.967.562.290.170
4	Operating Revenue	251.595.463.296
5	Profit Before Tax	21.323.644.300
6	Profit After Tax	17.177.035.087

Details of the 2024 Audited Financial Report have been posted on the Company's website at <http://www.eves.com.vn>.

2. Profit distribution plan for 2024

Unit: VND

No.	Content	Amount	Note
1	Accounting profit after corporate income tax in 2024	17.177.035.087	(a)
2	Distribution of accounting profit after corporate income tax in 2024	858.851.754	(d) = (e)+(f)+(g)
-	Reserve fund for charter capital (5%)	0	(e)
-	Financial reserve fund and operational risk (5%)	0	(f)
-	Welfare reward fund (5%)	858.851.754	(g)
3	Remaining profit after distributing funds	16.318.183.333	(h) = (a)-(d)

Respectfully submit to the General Meeting of Shareholders for consideration, comments and approval.

Sincerely./.

Recipient:

- As above;
- Archive: BOD office.

PP. BOARD OF DIRECTORS

PRESIDENT



Nguyen Hai Chau



No.: 02/2025/TT-HĐQT

Ha Noi, dated 01. month 04. year 2025

PROPOSAL

*Re: Approval of the selection of an Auditing Company for financial statements
and other reports as prescribed in 2025*

Dear: General Meeting of Shareholders

- Pursuant to the Law on Enterprises No. 59/2020/QH14 issued on June 17, 2020 and documents amending, supplementing and guiding its implementation;
- Pursuant to the Law on Securities No. 54/2019/QH14 issued on November 26, 2019 and documents amending, supplementing and guiding its implementation;
- Pursuant to the Charter of Everest Securities Joint Stock Company ("**the Company**");

In accordance with the Company's Charter and relevant legal regulations, the Board of Directors respectfully submits to the General Meeting of Shareholders for approval the selection of an auditing firm to conduct the audit of the Company's financial statements and other required reports for 2025, based on the following criteria:

I. Criteria for Selecting an Auditing Firm

1. Must be an auditing firm approved by the State Securities Commission of Vietnam to audit entities with public interests in the securities sector in 2025.
2. Must have experience auditing large companies and corporations in the financial sector.
3. Must have a team of highly qualified and experienced auditors.
4. Must have a strong reputation for audit quality.
5. Must meet the Company's requirements regarding audit scope and schedule.
6. Must offer a reasonable audit fee, ensuring quality in relation to the proposed audit scope and fee quotations.

II. Proposal

The Board of Directors would like to propose 06 Auditing Companies that meet the above conditions, including:

1. AASC Auditing Company Limited.
2. CPA Vietnam Auditing Company Limited.
3. Deloitte Vietnam Company Limited.
4. Ernst & Young Vietnam Company Limited.
5. KPMG Vietnam Company Limited.
6. A&C Auditing and Consulting Company Limited.

Respectfully submit to the General Meeting of Shareholders for approval to authorize the Board of Directors to select a qualified auditing company in accordance with the provisions of law to audit the Company's Financial Statements and other reports in accordance with the provisions of 2025 and assign the General Director to sign an auditing contract with the auditing unit.



Respectfully submit to the General Meeting of Shareholders for consideration, comment and approval.

Sincerely./.

Recipient:

- As above;
- Archive: BOD Office.

PP. BOARD OF DIRECTORS

PRESIDENT



Nguyễn Hai Châu



PROPOSAL

Re: Finalization of remuneration for the Board of Directors and Audit Committee in 2024 and remuneration plan for the Board of Directors and Audit Committee in 2025

Dear: General Meeting of Shareholders

- Pursuant to the Law on Enterprises No. 59/2020/QH14 issued on June 17, 2020 and documents amending, supplementing and guiding its implementation;
- Pursuant to the Law on Securities No. 54/2019/QH14 issued on November 26, 2019 and documents amending, supplementing and guiding its implementation;
- Pursuant to the Charter of Everest Securities Joint Stock Company ("**Company**");

The Board of Directors of Everest Securities Joint Stock Company respectfully submits to the General Meeting of Shareholders for approval of the remuneration settlement of the Board of Directors, Audit Committee in 2024 and the remuneration plan and bonus fund in 2025:

1. Finalization of remuneration for the Board of Directors and Audit Committee in 2024

No.	Category	Number of people	Remuneration fund paid in 2024 (dong)
A	Board of Directors Remuneration		
1	Chairman of the Board	1	3.650.000.000
2	Board of Directors Members	10	4.566.640.782
B	Audit Committee Remuneration		
1	Chairman of the Audit Committee	1	600.000.000
2	Audit Committee Members	1	320.400.000
	Total		9.137.040.782

The total remuneration fund for the Board of Directors and the Audit Committee in 2024 approved by the General Meeting of Shareholders is VND 9.500.000.000 (Nine billion five hundred million dong), the remuneration paid to the members of the Board of Directors is: VND 9.137.040.782 (Nine billion, one hundred thirty-seven million, zero hundred forty thousand, seven hundred eighty-two dong).

2. Settlement of the 2024 Board of Directors' bonus fund:

The bonus fund approved by the General Meeting of Shareholders in Resolution No. 01/2024/NQ - ĐHĐCĐ dated April 25, 2024 is 5% calculated on the excess between the realized after-tax profit compared to the after-tax profit according to the 2024 plan approved by the General Meeting of Shareholders. According to the 2024 audit report, the company's after-tax profit reached VND 17.177.035.087, completing 16% of the 2024 plan, accordingly the bonus fund of the Board of Directors and the Audit Committee is not calculated.

3. Remuneration and bonus plan for the Board of Directors and Audit Committee in 2025

- The total remuneration fund for the Board of Directors and Audit Committee in 2025 is VND 8.500.000.000 (Eight billion five hundred million dong)/year.
- The bonus fund for the Board of Directors and Audit Committee in 2025 is 5% of the excess between the Company's actual after-tax profit and the planned after-tax profit for 2025 approved by the General Meeting of Shareholders.
- The General Meeting of Shareholders authorizes the Board of Directors to allocate the remuneration fund and bonus fund for the Board of Directors and Audit Committee in 2025.

Respectfully submit to the General Meeting of Shareholders of the Company for consideration, comments and approval.

Sincerely./.

Recipient:

- As above;
- Archive: BOD Office

**PP. BOARD OF DIRECTORS
PRESIDENT**



Nguyen Hai Chau



No.: ...*04*.../2025/TTr-HĐQT

Hanoi, dated *01* month *04* year 2025

PROPOSAL

Re: Dismissal and election of members of the Board of Directors

Dear: General Meeting of Shareholders

- Pursuant to the Law on Enterprises No. 59/2020/QH14 issued on June 17, 2020 and its amendments, supplements and implementing instructions;
- Pursuant to the Law on Securities No. 54/2019/QH14 issued on November 26, 2019 and its amendments, supplements and implementing instructions;
- Pursuant to the Charter of Everest Securities Joint Stock Company;
- Pursuant to the resignation letter of a member of the Board of Directors,

In order to complete the personnel of the Board of Directors (“**BOD**”) of Everest Securities Joint Stock Company (“**Company**”), ensuring compliance with the provisions of the Company’s Charter and the law, the Board of Directors of the Company respectfully submits to the 2025 Annual General Meeting of Shareholders for approval of dismissal and election of members of the Board of Directors members, specifically:

1. Approval of the dismissal of 05 members of the Board of Directors according to the Resignation Letter, including the following persons:

- Mr. Pham Hong Minh;
- Mr. Nguyen Xuan Ha;
- Mr. Nguyen Thanh Chung;
- Mr. Tran Dinh Cuong;
- Mr. Hoang Don Hung.

2. Election of 02 new members to replace the dismissed members of the Board of Directors.

Respectfully submits to the General Meeting of Shareholders.

Recipient:

- As above;
- Archive: BOD Office

**PP. BOARD OF DIRECTORS
PRESIDENT**



Nguyen Hai Chau

REPORT

List of candidates for election to the Board of Directors

**Dear: Annual General Meeting of Shareholders 2025
Everest Securities Joint Stock Company**

- Pursuant to the Law on Enterprises No. 59/2020/QH14 issued on June 17, 2020 and documents amending, supplementing and guiding its implementation ;
- Pursuant to the Securities Law No. 54/2019/QH14 dated November 26, 2019 and its amendments, supplements and implementing instructions ;
- Pursuant to the Charter of Everest Securities Joint Stock Company;
- Pursuant to the Notice of nomination and candidacy for election to the Board of Directors of Everest Securities Joint Stock Company;
- Based on the nomination papers of the group of shareholders.

As of 12:00 on April 21, 2025 , the list of candidates for election to the Board of Directors of Everest Securities Joint Stock Company through nomination is summarized as follows:

STT	Candidate Name	Candidate information	Total Proportion of Nominated Shares	Nomination date
01	Nguyen Thanh Hai	According to the attached personal information	15.74%	April 14, 2025
02	Nguyen Dinh Tuan	According to the attached personal information	16.5%	April 16, 2025

Respectfully submit to the General Meeting of Shareholders for consideration and approval.

Best regards./.

Recipient:

- As above;
- Save: VP. BOD .

CHAIRMAN OF BOD

Nguyen Hai Chau

INFORMATION OF NOMINATED CANDIDATES FOR THE POSITION BOARD MEMBER

At the 2025 Annual General Meeting of Shareholders of Everest Securities Joint Stock Company

1. Candidate No. 1

- Full name: NGUYEN THANH HAI
- Date of birth: November 23, 1975
- Nationality: Vietnam
- Qualification: Master of Business Administration
- Other management positions:
 - + Chairman of the Board of Directors of the Center for Women and Community Development under the Vietnam Union of Science and Technology Associations.
 - + Chairman of the Board of Directors of IIC International Investment and Consulting Joint Stock Company.
 - + Independent member of the Board of Directors of Nam Hoa Production and Trading Joint Stock Company.
- Benefits related to the company and its stakeholders:
 - + IIC International Investment and Consulting Joint Stock Company; business registration number: 0108202795; head office address: 2nd Floor, Vinaconex 7 Building, No. 61 Nguyen Van Giap Street, Cau Dien Ward, Nam Tu Liem District, Hanoi City; business lines: trade, services; ownership ratio: 80%;
 - + Center for Women and Community Development; Establishment Decision No.: 765/QĐ-LHHVN, date of issue: December 13, 2011, place of issue: Vietnam Union of Science and Technology Associations; head office address: G12, 39 Phao Dai Lang, Dong Da, Hanoi; ownership ratio: 98%;
 - + Everest Securities Joint Stock Company; business registration number: 0102121331; head office address: Floor 3, 6, 9, No. 2A Dai Co Viet Street, Le Dai Hanh Ward, Hai Ba Trung District, Hanoi City; business line: securities: Signed a labor contract with Everest Securities Joint Stock Company and is currently holding the position of General Director.
- Number of shares held at EVS: 0 shares, accounting for 0% of charter capital
- Work process:

TT	Time (May)	Workplace (Name of organization)	Position	Location work
(1)	(2)	(3)	(4)	(5)
1	08/1996 -	Mercedes Benz Vietnam -	Head of Business	Head of Business

	04/2001	Authorized Dealer	Operations	Operations
2	04/2001 - 03/2007	Prudential Insurance Company	Head of Regional Sales Operations	Head of Regional Sales Operations
3	05/2007 - 11/2017	An Binh Securities Joint Stock Company	General Director	General Director
4	04/2018 - 10/2018	Yuanta Securities Vietnam Co., Ltd.	Deputy General Manager	Deputy General Manager
5	04/2019 - 10/2019	Tri Viet Asset Management Group, Tri Viet Securities Company	Senior Advisor to the Board of Directors	Senior Advisor to the Board of Directors
6	10/2021 - 06/2022	Everest Securities Joint Stock Company	Advisor to the Chairman of the Board of Directors	Advisor to the Chairman of the Board of Directors
7	01/2018 - present	Center for Women and Community Development	Chairman of the Board of Directors	Chairman of the Board of Directors
8	03/2018 - present	IIC International Investment and Consulting Joint Stock Company	Chairman of the Board of Directors	Chairman of the Board of Directors
9	04/2024 - present	Nam Hoa Production and Trading Joint Stock Company	Independent Member of the Board of Directors	Independent Member of the Board of Directors
10	11/2024 - present	Everest Securities Joint Stock Company	Board Advisor/CEO	Board Advisor/CEO



2. Candidate No. 2

- Full name: NGUYEN DINH TUAN
- Date of birth: November 7, 1980
- Nationality: Vietnam
- Professional qualification: Master of Finance - Banking
- Other management positions: Chairman Board of Directors of Central Pharmaceutical Joint Stock Company I - Pharbaco
- Interests related to the company and its related parties: None
- Number of shares held at EVS: 0 shares, accounting for 0% of charter capital
- Work process:

TT	Time (May)	Workplace (Name of organization)	Position	Location work
(1)	(2)	(3)	(4)	(5)
1	2002 -2006	GT 1 Construction Corporation		Department of Finance and Accounting
2	2007 -2013	An Binh Commercial Joint Stock Bank	Head of Department, Deputy Director	Hanoi Branch
3	2013 -2023	National Commercial Joint Stock Bank	Deputy Director, Deputy General Director	Hanoi Branch – Head Office
4	2024 -2025	Central Pharmaceutical Joint Stock Company I - Pharbaco	TV Board of Directors	Board of Directors



No.: ...05.../2025/TTr-HĐQT

Hanoi, dated 01 month 04 year 2025

PROPOSAL

Re: Regarding Change of Company Name

Dear: General Meeting of Shareholders

- Pursuant to the Law on Enterprises No. 59/2020/QH14 issued on June 17, 2020 and documents amending, supplementing and guiding its implementation;
- Pursuant to the Law on Securities No. 54/2019/QH14 issued on November 26, 2019 and documents amending, supplementing and guiding its implementation;
- Pursuant to the Charter of Everest Securities Joint Stock Company ("the Company");

The Board of Directors would like to report and respectfully submit to the General Meeting of Shareholders for consideration and approval of the change of the Company's name as follows:

1. The proposed change of Company name:
 - Company name in Vietnamese: Công ty cổ phần Chứng khoán EVS
 - Company name in foreign language: EVS Securities Joint Stock Company
 - Company name in abbreviation: EVS.,JSC
2. Implementation:
 - The General Meeting of Shareholders assigns/authorizes the Board of Directors to proactively decide/adjust the proposed change of the Company's name as mentioned above if necessary and/or according to the opinion of the competent State agency; Modifies the Company's name accordingly in the Internal Governance Regulations, the Organization and Operation Regulations of the Company's Board of Directors after the Company is granted a business registration certificate.
 - The General Meeting of Shareholders assigns/authorizes the Company's Legal Representative to deploy and carry out relevant procedures at competent State agencies to complete the change of the Company's name; Modifies the Company's name accordingly in the Charter after the Company is granted a business registration certificate.

Respectfully submit to the General Meeting of Shareholders for consideration and approval.

Recipient:

- As above;
- Archive: BOD Office.

**PP. BOARD OF DIRECTORS
PRESIDENT**



Nguyen Hai Chau

**EVEREST SECURITIES JOINT
STOCK COMPANY**

No.: ...06.../2025/TTr-HĐQT

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

Ha Noi, dated 01..month 04.. year 2025

PROPOSAL

Re: Supplement of Legal Representative of the Company

Dear: General Meeting of Shareholders

- Pursuant to the Law on Enterprises No. 59/2020/QH14 issued on June 17, 2020 and documents amending, supplementing and guiding its implementation;
- Pursuant to the Law on Securities No. 54/2019/QH14 issued on November 26, 2019 and documents amending, supplementing and guiding its implementation;
- Pursuant to the Charter of Everest Securities Joint Stock Company ("**the Company**"),

The Board of Directors (BOD) would like to report and respectfully submit to the General Meeting of Shareholders for consideration and approval of the supplementing of the Company's Legal Representative as follows:

1. Supplement of the Company's Legal Representative:
 - a) Current legal representative of the Company:

The Company has 01 legal representative who is the General Director of the Company
 - b) Proposed supplement 01 Legal Representative. After the change, the Company has 02 Legal Representatives, specifically:
 - The General Director is the first Legal Representative of the Company.
 - The Chairman of the Board of Directors is the second Legal Representative of the Company.
2. Implementation: The General Meeting of Shareholders assigns/authorizes General Director to implement and carry out relevant procedures at the competent authority to complete the supplement of the Company's Legal Representative.

Respectfully submits to the General Meeting of Shareholders for consideration and approval./.

Recipient:

- As above;
- Archive: BOD Office.

**PP. BOARD OF DIRECTOR
PRESIDENT**



Nguyễn Hai Chau
Nguyễn Hai Chau

No.: 07/2025/TT-HĐQT

Ha Noi, dated 01 month 04 year 2025

PROPOSAL

Re: Amendment of the Company Charter

Dear: General Meeting of Shareholders

- Pursuant to the Law on Enterprises No. 59/2020/QH14 issued on June 17, 2020 and documents amending, supplementing and guiding its implementation;
- Pursuant to the Law on Securities No. 54/2019/QH14 issued on November 26, 2019 and documents amending, supplementing and guiding its implementation;
- Pursuant to the Charter of Everest Securities Joint Stock Company. (“Company”);
- Pursuant to the Company's operational situation.

The Board of Directors (BOD) of Everest Securities Joint Stock Company respectfully submits to the General Meeting of Shareholders (GMS) for approval to amend a number of contents of the Company Charter:

1. Proposed amendments:

No.	Terms	Charter issued on 02/11/2023	Content after adjustment
1.	Preamble to the Charter	This Charter was approved by the Annual General Meeting of Shareholders of Everest Securities Joint Stock Company under Resolution No. 01/2021/NQ-ĐHĐCĐ dated March 2, 2021, amended on October 12, 2021 and November 2, 2023	This Charter was approved by the Annual General Meeting of Shareholders of EVS Securities Joint Stock Company under Resolution No. 01/2021/NQ-ĐHĐCĐ dated March 2, 2021, amended for the third time on March 18, 2025
2.	Point a, Clause 1, Article 1	“Company” means Everest Securities Joint Stock Company	“Company” means EVS Securities Joint Stock Company.
3.	Clause 1 Article 2	1. Company Name: a) Company Name in Vietnamese: Everest Securities Joint Stock Company b) Company Name in foreign language: Everest Securities Joint Stock Company c) Abbreviated Company Name: EVS.,JSC	1. Company Name: a) Company Name in Vietnamese: EVSSecurities Joint Stock Company b) Company Name in foreign language: EVS Securities Joint Stock Company c) Abbreviated Company Name: EVS.,JSC
4.	Clause 1, 2 Article 3	1. The Company has 01 legal representative who is the	1. Number and title of Legal Representatives

		<p>General Director of the Company.</p> <p>2. The legal representative of the Company is an individual who represents the Company in exercising the rights and obligations arising from the Company's transactions, represents the Company as a person requesting settlement of civil matters, plaintiff, defendant, person with related rights and obligations before the Arbitration, Court and other rights and obligations as prescribed by law.</p>	<p>a) The Company has 02 Legal Representatives</p> <p>b) Title of the Legal Representative:</p> <ul style="list-style-type: none"> - The General Director is the first Legal Representative of the Company. - The Chairman of the Board of Directors is the second Legal Representative of the Company. <p>2. Rights and obligations of the Legal Representative:</p> <ul style="list-style-type: none"> - The General Director has the rights and obligations specified in Article 36 of this Charter. The General Director represents the Company in exercising the rights and obligations arising from the Company's transactions, representing the Company as a person requesting settlement of civil matters, a plaintiff, a defendant, a person with related rights and obligations before the Arbitration, the Court and other rights and obligations as prescribed by law. The General Director is responsible for providing documents and working with the State Securities Commission. - The Chairman of the Board of Directors has the rights and obligations specified in Article 28 of this Charter. The Chairman of the Board of Directors represents the Company in exercising the rights and obligations arising from the Company's transactions, represents the Company as a person requesting settlement of civil matters, plaintiff, defendant, person with related rights and obligations before the Arbitration, Court and other rights and obligations as prescribed by law.
5.	Point a, Clause 3, Article 11	<p>a) Ordinary shareholders may form a group to nominate people for the Board of Directors. Specifically:</p> <p>)A shareholder or group of shareholders holding from 10% to 20% of the total number of voting shares may nominate a</p>	<p>a) Ordinary shareholders may form groups to nominate candidates for the Board of Directors. Specifically:</p> <p>)A shareholder or group of shareholders holding from 10% to 20% of the total number of voting</p>

		<p>maximum of two (02) candidates if the number of members of the Board of Directors is from 08 (eight) members or less, or a maximum of three (03) candidates if the number of members of the Board of Directors is from nine (09) to eleven (11) members;</p> <p>) A shareholder or group of shareholders holding from 20% to 40% of the total number of voting shares may nominate a maximum of three (03) candidates if the number of members of the Board of Directors is from 08 (eight) members or less, or a maximum of four (04) candidates if the number of members of the Board of Directors is from nine (09) to eleven (11) members;</p> <p>) Shareholders or groups of shareholders holding from 40% to 60% of the total number of voting shares may nominate a maximum of five (05) candidates if the number of members of the Board of Directors is from 08 (eight) members or less, or a maximum of six (06) candidates if the number of members of the Board of Directors is from nine (09) to eleven (11) members;</p> <p>) Shareholders or groups of shareholders holding from 60% to 60% of the total number of voting shares or more may nominate enough candidates to be elected as members of the Board of Directors.</p>	<p>shares may nominate up to two (02) candidates;</p> <p>) A shareholder or group of shareholders holding from 20% to 40% of the total number of voting shares may nominate up to three (03) candidates;</p> <p>) A shareholder or group of shareholders holding from 40% to 60% of the total number of voting shares may nominate up to five (05) candidates;</p> <p>) A shareholder or group of shareholders holding from 60% or more of the total number of voting shares may nominate enough candidates to be elected as members of the Board of Directors.</p>
6.	Point d, Clause 1, Article 20	d) Decision to invest or sell assets with a value of 50% or more of the total asset value recorded in the Company's most recent audited or reviewed financial statements;	d) Decision to invest or sell assets with a value of 50% or more of the total asset value recorded in the Company's most recent financial report;
7.	Clause 1, Article 25	1. The number of members of the Company's Board of Directors is from 05 (five) to 11 (eleven) members.	1. The number of members of the Company's Board of Directors is: 07 (seven) members.

8.	Clause 3, Article 25	<p>3. The structure of the Board of Directors is as follows:</p> <p>The structure of the Board of Directors of the Company must ensure the minimum number of non-executive Board members, independent Board members and other requirements as prescribed by law in each period. The structure of the Board of Directors of the Company must ensure the following provisions:</p> <p>a) There must be at least 01 independent member in case the Company has 05 Board members;</p> <p>b) There must be at least 02 independent members in case the Company has 06 to 08 Board members;</p> <p>c) There must be at least 03 independent members in case the Company has 09 to 11 Board members.</p> <p>d) The Company must ensure that at least (1/3) of the total number of Board members are non-executive members.</p>	<p>3. The structure of the Board of Directors is as follows:</p> <p>The structure of the Board of Directors of the Company must ensure the minimum number of non-executive Board members, independent Board members and other requirements as prescribed by law in each period. The structure of the Board of Directors of the Company must ensure the following provisions:</p> <p>a) There must be at least 02 independent members;</p> <p>b) At least (1/3) of the total number of Board members must be non-executive members.</p>
9.	Point h, Clause 2, Article 26	h) Decision to invest or sell assets with a value of less than 50% of the total asset value recorded in the Company's most recent audited or reviewed financial statements;	h) Decision to invest or sell assets with a value of less than 50% of the total asset value recorded in the Company's most recent financial report;
10.	Point v, Clause 2, Article 26	v) Approve contracts for purchase, sale, borrowing, lending and other contracts and transactions with a value of 20% or more of the total asset value recorded in the Company's most recent audited or reviewed financial statements, except for contracts and transactions under the decision-making authority of the General Meeting of Shareholders as prescribed in Article 14 of the Company's Charter and provisions of law.	v) Approve contracts for purchase, sale, borrowing, lending and other contracts and transactions with a value of 20% or more of the total asset value recorded in the Company's most recent financial report, except for contracts and transactions under the decision-making authority of the General Meeting of Shareholders as prescribed in Article 14 of the Company's Charter and provisions of law.
11.	Point c, Clause 3, Article 45	c) Contracts and transactions between the Company and the entities specified in Point b,	c) Contracts and transactions between the Company and the entities specified in Clause 1 of this

	<p>Clause 1 of this Article have a value of 35% or more of the total asset value recorded in the most recent financial report or transactions that result in a transaction value arising within 12 months from the date of the first transaction with a value of 50% or more of the total asset value recorded in the most recent financial report. In this case, the important contents of this transaction as well as the relationships and interests of the members of the Board of Directors, the General Director, and other executives have been announced to the shareholders and approved by the General Meeting of Shareholders by votes of shareholders with no related interests.</p> <p>In case of approval of a contract or transaction as prescribed in Clause 3 of this Article, the Company's representative signing the contract or transaction must notify the Board of Directors of the entities related to that contract or transaction and send along a draft contract or notice of the main content of the transaction. The Board of Directors shall submit draft contracts, transactions or explain the main contents of contracts, transactions and notify the relationship and interests of members of the Board of Directors, the General Director, and other executives to the General Meeting of Shareholders at the General Meeting of Shareholders or obtain written opinions from shareholders. In this case, shareholders with interests related to the parties in the contract or transaction shall not have the right to vote.</p>	<p>Article have a value of 35% or more of the total asset value recorded in the most recent financial report or transactions that result in a transaction value arising within 12 months from the date of the first transaction with a value of 35% or more of the total asset value recorded in the most recent financial report. In this case, the important contents of this transaction as well as the relationships and interests of the members of the Board of Directors, the General Director, and other executives have been announced to the shareholders and approved by the General Meeting of Shareholders by votes of shareholders with no related interests.</p> <p>In case of approval of a contract or transaction as prescribed in Clause 3 of this Article, the Company's representative signing the contract or transaction must notify the Board of Directors of the entities related to that contract or transaction and send along a draft contract or notice of the main content of the transaction. The Board of Directors shall submit draft contracts, transactions or explain the main contents of contracts, transactions and notify the relationship and interests of members of the Board of Directors, the General Director, and other executives to the General Meeting of Shareholders at the General Meeting of Shareholders or obtain written opinions from shareholders. In this case, shareholders with interests related to the parties in the contract or transaction shall not have the right to vote.</p>
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2. The General Meeting of Shareholders assigns/authorizes the General Director of the Company to amend, supplement and promulgate the Company Charter at the following times:

- Amend the terms/clauses related to the change of the Company's name after the Company is granted a certificate of business registration regarding the change of the Company's name.
- Amend other terms immediately after the General Meeting of Shareholders approves this proposal.

The Board of Directors respectfully submits to the General Meeting of Shareholders for consideration and approval.

Recipient:

- Shareholders;
- BOD members;
- Board of Directors' Office.

PP. BOARD OF DIRECTOR

PRESIDENT



Nguyen Hai Chau

C.P.

No.: 08/2025/TTr-HĐQT

Ha Noi, dated 01 month ...04, 2025

PROPOSAL

Re: Amendment of Internal Governance Regulations

Dear: General Meeting of Shareholders

- Pursuant to the Law on Enterprises No. 59/2020/QH14 issued on June 17, 2020 and its amendments, supplements and guidance documents;
- Pursuant to the Law on Securities No. 54/2019/QH14 issued on November 26, 2019 and its amendments, supplements and guidance documents;
- Pursuant to the Charter of Everest Securities Joint Stock Company ("**Company**");
- Pursuant to the Company's Internal Governance Regulations;
- Pursuant to the Company's operational situation.

The Board of Directors (BOD) of Everest Securities Joint Stock Company respectfully submits to the General Meeting of Shareholders (GMS) for approval to amend a number of contents of the Company's Internal Governance Regulations:

1. Proposed amendments:

No.	Term	Charter issued	Content after adjustment
1.	Preamble	This Charter was approved by the Annual General Meeting of Shareholders of Everest Securities Joint Stock Company under Resolution No. 01/2021/NQ-ĐHĐCĐ dated March 2, 2021 and was amended on November 2, 2023.	This Charter was approved by the Annual General Meeting of Shareholders of EVS Securities Joint Stock Company under Resolution No. 01/2021/NQ-ĐHĐCĐ dated March 2, 2021 and was amended for the second time on March 18, 2025.
2.	Point a, point b, clause 1, Article 2	a) "Company" means Everest Securities Joint Stock Company b) "Charter" means the Charter of Everest Securities Joint Stock Company approved by the Company's General Meeting of Shareholders	a) "Company" means EVS Securities Joint Stock Company b) "Charter" means the Charter of EVS Securities Joint Stock Company approved by the Company's General Meeting of Shareholders
3.	Point a, clause 9, Article 9	a) Resolutions on the following contents shall be passed if approved by shareholders representing 65% or more of the total number of votes of all shareholders attending and voting at the meeting, except for the cases specified in Clause 3, Article	a) Resolutions on the following contents shall be passed if approved by shareholders representing 65% or more of the total number of votes of all shareholders attending and voting at the meeting, except for

		<p>20, Clause 2, Article 16 and Clause 8, Article 21 of the Company Charter:</p> <ul style="list-style-type: none"> - Types of shares and total number of shares of each type; - Changes in business lines, occupations and fields; - Changes in the Company's management structure; - Decisions to invest or sell assets with a value of 50% or more of the total value of assets recorded in the Company's most recent audited or reviewed financial statements; - Reorganization or dissolution of the Company; - Changes or cancellations of special rights attached to a type of preferred shares. 	<p>the cases specified in Clause 3, Article 20, Clause 2, Article 16 and Clause 8, Article 21 of the Company Charter:</p> <ul style="list-style-type: none"> - Types of shares and total number of shares of each type; - Changes in business lines, occupations and fields; - Changes in the Company's management structure; - Decisions to invest or sell assets with a value of 50% or more of the total value of assets recorded in the Company's most recent financial statements; - Reorganization or dissolution of the Company; - Changes or cancellations of special rights attached to a type of preferential shares.
4.	Clause 4, Article 11	<p>4. Term and number of members of the Board of Directors:</p> <p>The number of members of the Board of Directors is from 05 (five) to 11 (eleven) members.</p> <p>The term of office of a member of the Board of Directors shall not exceed 05 years and may be re-elected for an unlimited number of terms. An individual may only be elected as an independent member of the Board of Directors of a Company for no more than 02 consecutive terms. In case all members of the Board of Directors end their terms at the same time, such members shall continue to be members of the Board of Directors until a new member is elected to replace and take over the work.</p>	<p>4. Term and number of members of the Board of Directors:</p> <p>The number of members of the Board of Directors is 07 members.</p> <p>The term of office of a member of the Board of Directors shall not exceed 05 years and may be re-elected for an unlimited number of terms. An individual may only be elected as an independent member of the Board of Directors of a Company for no more than 02 consecutive terms. In case all members of the Board of Directors end their terms at the same time, such members shall continue to be members of the Board of Directors until a new member is elected to replace and take over the work.</p>
5.	Point a, Clause 5, Article 11	<p>a) The structure of the Board of Directors of the Company must ensure the minimum number of non-executive Board members, independent Board members and other requirements as prescribed by law in each period. The structure of</p>	<p>a) The structure of the Board of Directors of the Company must ensure the minimum number of non-executive Board members, independent Board members and other requirements as prescribed by law in each period. The</p>



		<p>the Board of Directors of the Company must ensure the following provisions:</p> <p>(i). There must be at least 01 independent member in case the Company has 05 Board members;</p> <p>(ii). There must be at least 02 independent members in case the Company has 06 to 08 Board members;</p> <p>(iii). There must be at least 03 independent members in case the Company has 09 to 11 Board members;</p> <p>(iv). The Company must ensure that at least (1/3) of the total number of Board members are non-executive members.</p>	<p>structure of the Board of Directors of the Company must ensure the following provisions:</p> <p>(i). There must be at least 02 independent members;</p> <p>(ii). At least (1/3) of the total number of Board members must be non-executive members.</p>
6.	Clause 2, Article 12	<p>2. The nomination of people for the position of Board of Directors member is carried out as follows:</p> <p>a) A shareholder or group of shareholders holding from 10% to 20% of the total number of voting shares may nominate a maximum of two (02) candidates if the number of Board of Directors members is from 08 (eight) members or less, or a maximum of three (03) candidates if the number of Board of Directors members is from nine (09) to eleven (11) members;</p> <p>b) A shareholder or group of shareholders holding from 20% to 40% of the total number of voting shares may nominate a maximum of three (03) candidates if the number of members of the Board of Directors is from 08 (eight) members or less, or a maximum of four (04) candidates if the number of members of the Board of Directors is from nine (09) to eleven (11) members;</p> <p>c) Shareholders or groups of shareholders holding from 40% to 60% of the total number of voting shares may nominate a maximum of five (05) candidates if the number of members of the Board of Directors is from 08 (eight) members or less, or a maximum of six (06)</p>	<p>2. The nomination of candidates for the position of Board of Directors is carried out as follows:</p> <p>a) A shareholder or group of shareholders holding from 10% to 20% of the total number of voting shares may nominate a maximum of two (02) candidates;</p> <p>b) A shareholder or group of shareholders holding from 20% to 40% of the total number of voting shares may nominate a maximum of three (03) candidates;</p> <p>c) A shareholder or group of shareholders holding from 40% to 60% of the total number of voting shares may nominate a maximum of five (05) candidates;</p> <p>d) A shareholder or group of shareholders holding from 60% or more of the total number of voting shares may nominate enough candidates to be elected as members of the Board of Directors.</p> <p>Based on the number of members of the Board of Directors, the shareholder or group of shareholders specified in this</p>

	<p>candidates if the number of members of the Board of Directors is from nine (09) to eleven (11) members;</p> <p>d) Shareholders or groups of shareholders holding from 60% to 60% of the total number of voting shares or more may nominate the number of candidates expected to be elected as members of the Board of Directors.</p> <p>Based on the number of members of the Board of Directors, shareholders or groups of shareholders specified in this clause have the right to nominate one or several candidates for the Board of Directors. In case the number of candidates nominated by shareholders or groups of shareholders is lower than the number of candidates they are entitled to nominate according to the decision of the General Meeting of Shareholders, the remaining candidates shall be nominated by the Board of Directors. The Board of Directors' introduction/nomination of additional candidates must be clearly announced before the General Meeting of Shareholders votes to elect members of the Board of Directors in accordance with the provisions of law.</p>	<p>clause has the right to nominate one or more candidates for the Board of Directors. In case the number of candidates nominated by shareholders or groups of shareholders is lower than the number of candidates they are entitled to nominate according to the decision of the General Meeting of Shareholders, the remaining candidates shall be nominated by the Board of Directors. The Board of Directors' introduction/nomination of additional candidates must be clearly announced before the General Meeting of Shareholders votes to elect members of the Board of Directors in accordance with the provisions of law.</p>
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2. The General Meeting of Shareholders assigns/authorizes the Board of Directors of the Company to amend, supplement and promulgate the Company's internal governance regulations after the Company is granted a business registration certificate regarding the change of the Company's name.

The Board of Directors respectfully submits to the General Meeting of Shareholders for consideration and approval.

Recipient:

- Shareholders;
- BOD members;
- Board of Directors' Office.

PP. BOARD OF DIRECTOR

PRESIDENT



Nguyen Hai Chau

**EVEREST SECURITIES JOINT
STOCK COMPANY**

No.: 09/2025/TTr-HĐQT

**SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness**

Ha Noi, dated 01 month 04 year 2025

PROPOSAL

Re: Amendment to the Regulations on organization and operation of the Board of Directors

Dear: General Meeting of Shareholders

- Pursuant to the Law on Enterprises No. 59/2020/QH14 issued on June 17, 2020 and documents amending, supplementing and guiding its implementation;
- Pursuant to the Law on Securities No. 54/2019/QH14 issued on November 26, 2019 and documents amending, supplementing and guiding its implementation;
- Pursuant to the Charter of Everest Securities Joint Stock Company (“**Company**”);
- Pursuant to the Regulations on organization and operation of the Board of Directors;
- Pursuant to the Company's operational situation.

The Board of Directors (“**BOD**”) respectfully submits to the Company's General Meeting of Shareholders for approval the amendment and supplement to the Regulations on organization and operation of the Company's Board of Directors (“**Regulations**”) as follows:

1. Proposed amendments

No.	Terms	Charter issued on 02/11/2023	Content after adjustment
1.	Preamble to the	This Charter was approved by the General Meeting of Shareholders of Everest Securities Joint Stock Company under Resolution No. 01/2021/NQ-ĐHĐCĐ dated March 2, 2021 and was amended on November 2, 2023.	This Charter was approved by the General Meeting of Shareholders of EVS Securities Joint Stock Company under Resolution No. 01/2021/NQ-ĐHĐCĐ dated March 2, 2021 and was amended for the second time on March 18, 2025.
2.	Point a, Clause 1, Article 1	a. “Charter” means the Charter on organization and operation of the Board of Directors of Everest Securities Joint Stock Company; b. “Company” means Everest Securities Joint Stock Company	a. “Charter” means the Charter on organization and operation of the Board of Directors of EVS Securities Joint Stock Company; b. “Company” means EVS Securities Joint Stock Company
3.	Clause 1, Clause 2 Article 6	1. The number of members of the Board of Directors is from 05 (five) to 11 (eleven) members. 2. The structure of the Board of Directors must ensure a balance between members with knowledge and experience in law, finance and the Company's business activities. The	1. The number of members of the Board of Directors is 07 (seven) members. 2. The structure of the Board of Directors must ensure a balance between members with knowledge and experience in law, finance and the Company's



		<p>structure of the Board of Directors of the Company must ensure the following provisions:</p> <p>a) There must be at least 01 independent member in case the Company has 05 members on the Board of Directors;</p> <p>b) There must be at least 02 independent members in case the Company has 06 to 08 members on the Board of Directors;</p> <p>c) There must be at least 03 independent members in case the Company has 09 to 11 members on the Board of Directors.</p> <p>d) The Company must ensure that at least (1/3) of the total number of members of the Board of Directors are non-executive members.</p>	<p>business operations. The structure of the Company's Board of Directors must ensure the following provisions:</p> <p>a) There must be at least 02 independent members;</p> <p>b) At least (1/3) of the total number of members of the Board of Directors must be non-executive members.</p>
4.	Point b, Clause 2, Article 10	<p>b) Ordinary shareholders may form groups to nominate people to the Board of Directors. Specifically:</p> <ul style="list-style-type: none"> - Shareholders or groups of shareholders holding from 10% to 20% of the total number of voting shares may nominate a maximum of two (02) candidates if the number of Board of Directors is from 08 (eight) members or less, or a maximum of three (03) candidates if the number of Board of Directors is from nine (09) to eleven (11) members; - Shareholders or groups of shareholders holding from 20% to 40% of the total number of voting shares may nominate a maximum of three (03) candidates if the number of Board of Directors is from 08 (eight) members or less, or a maximum of four (04) candidates if the number of Board of Directors is from nine (09) to eleven (11) members; - Shareholders or groups of shareholders holding from 40% to 60% of the total number of voting shares may nominate a maximum of five (05) candidates if the number of members of the Board of Directors is from 08 (eight) members or less, or a maximum of six (06) candidates if the 	<p>b) Ordinary shareholders may form groups to nominate candidates for the Board of Directors. Specifically:</p> <ul style="list-style-type: none"> - A shareholder or group of shareholders holding from 10% to 20% of the total number of voting shares may nominate a maximum of two (02) candidates; - A shareholder or group of shareholders holding from 20% to 40% of the total number of voting shares may nominate a maximum of three (03) candidates; - A shareholder or group of shareholders holding from 40% to 60% of the total number of voting shares may nominate a maximum of five (05) candidates; - A shareholder or group of shareholders holding from 60% or more of the total number of voting shares may nominate the full number of candidates expected to be elected as members of the Board of Directors. <p>Based on the number of members of the Board of Directors, the shareholder or group of shareholders specified in this</p>

		<p>number of members of the Board of Directors is from nine (09) to eleven (11) members;</p> <p>- Shareholders or groups of shareholders holding from 60% to 60% of the total number of voting shares or more may nominate the number of candidates expected to be elected as members of the Board of Directors.</p> <p>Based on the number of members of the Board of Directors, shareholders or groups of shareholders specified in this clause have the right to nominate one or several candidates for the Board of Directors. In case the number of candidates nominated by shareholders or groups of shareholders is lower than the number of candidates they are entitled to nominate according to the decision of the General Meeting of Shareholders, the remaining candidates shall be nominated by the Board of Directors and other shareholders. The Board of Directors' introduction of additional candidates must be clearly announced before the General Meeting of Shareholders votes to elect members of the Board of Directors in accordance with the provisions of law.</p>	<p>clause has the right to nominate one or more candidates for the Board of Directors. In case the number of candidates nominated by a shareholder or group of shareholders is lower than the number of candidates they are entitled to nominate according to the decision of the General Meeting of Shareholders, the remaining candidates shall be nominated by the Board of Directors and other shareholders. The Board of Directors' introduction of additional candidates must be clearly announced before the General Meeting of Shareholders votes to elect members of the Board of Directors in accordance with the provisions of law.</p>
5.	Point h, Clause 2, Article 12	h) Decision to invest or sell assets with a value of less than 50% of the total asset value recorded in the Company's most recent audited or reviewed financial statements;	h) Decision to invest or sell assets with a value of less than 50% of the total asset value recorded in the Company's most recent financial report;
6.	Point v, Clause 2, Article 12	v) Approve contracts for purchase, sale, borrowing, lending and other contracts and transactions with a value of 20% or more of the total asset value recorded in the Company's most recent audited or reviewed financial statements, except for contracts and transactions under the decision-making authority of the General Meeting of Shareholders as prescribed in Article 14 of the Company's Charter and provisions of law.	v) Approve contracts for purchase, sale, borrowing, lending and other contracts and transactions with a value of 20% or more of the total asset value recorded in the Company's most recent financial report, except for contracts and transactions under the decision-making authority of the General Meeting of Shareholders as prescribed in Article 14 of the Company's Charter and provisions of law.

2. The General Meeting of Shareholders assigns/authorizes the Board of Directors of the Company to amend, supplement and promulgate the Company's internal governance regulations after the Company is granted a business registration certificate regarding the change of the Company's name.

The Board of Directors respectfully submits to the General Meeting of Shareholders for consideration and approval./.

Recipient:

- Shareholders;
- BOD members;
- Board of Directors' Office.

PP. BOARD OF DIRECTOR

PRESIDENT



Nguyen Hai Chau



No.: 10./2025/TT-HDQT

Ha Noi, dated 01.. month 04 year 2025

PROPOSAL

Re: Approval of the policy of purchasing, selling or investing in assets and approval of transactions under the authority of the General Meeting of Shareholders

Dear: General Meeting of Shareholders

- Pursuant to the Law on Enterprises No. 59/2020/QH14 issued on June 17, 2020 and Law No. 03/2022/QH15 dated January 11, 2022, which amends and supplements a number of articles of the Law on Enterprises No. 59/2020/QH14;
- Pursuant to the Law on Securities No. 54/2019/QH14 issued on November 26, 2019 and Law No. 56/2024/QH15 dated November 29, 2024, which amends and supplements a number of articles of the Law on Securities 54/2019/QH14;
- Pursuant to the Charter of Everest Securities Joint Stock Company ("the Company");
- Pursuant to the Minutes of the Board of Directors' Meeting No. 06A/2025/BBH-HDQT dated 21./02./2025 of the Company.

In order to supplement capital for business operations, ensure financial security and comply with the provisions of law and the Company's Charter in deciding to buy, sell or invest in assets and approve transactions under the authority of the General Meeting of Shareholders ("GMS"), the Company's Board of Directors ("BOD") respectfully presents to the GMS for consideration the following contents:

1. Approving policies on purchase, sale, investment, transfer, investment cooperation, capital contribution and asset transactions under the authority of the General Meeting of Shareholders with a maximum value of up to 80% of the total asset value recorded in the Company's most recent financial report.
2. Approving transactions specified in Section 1 of this Proposal between the Company and related parties and internal persons of the Company under the approval authority of the General Meeting of Shareholders (if any).
3. The General Meeting of Shareholders assigns and authorizes the Board of Directors to search, negotiate, agree, develop, implement plans, draft contracts/forms and necessary documents for the transactions specified in Sections 1 and 2 of this Proposal and selects appropriate time and method to implement to seek the opinion of the General Meeting of Shareholders (in the form of obtaining shareholders' opinions in writing or organizing a meeting) for approval before implementation.

Respectfully submit to the Company's General Meeting of Shareholders for consideration, comments and approval.

Sincerely./.

Recipient:

- As above;
- Archive: BOD Office.

PP. BOARD OF DIRECTOR

PRESIDENT



Nguyen Hai Chau